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Acknowledgments
SPI: The Plastics Industry Trade Association and The Plastics Division of the American Chemistry Council (ACC) and wish to thank all of the individuals, companies, government agencies and related industry associations (too numerous to name) who participated in the development and review of this manual.

Information
Questions about or suggestions to improve the OCS program or materials may be submitted to ocs@plasticsindustry.org or (202) 974-5200.
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INTRODUCTION

The Operation Clean Sweep® (OCS) program and manual contain guidelines to help plastics industry operations managers reduce the accidental loss of pellets from the processing facility into the environment. Globally, abatement of pellet loss has been included in the “Declaration of Solutions for Marine Litter” to help industry’s role in addressing marine litter. We encourage companies to join other similar companies globally by signing the Declaration and by adopting the OCS program.

Each procedure contained herein may or may not be applicable to your specific operation. Manual users are free to implement the sections and steps that help achieve their company’s specific goals. None of the guidelines are intended as a mandate. Compliance with state and local regulations is mandatory. These guidelines may help you to achieve compliance and avoid penalties.

There are many ways to work toward zero pellet loss.

The OCS materials are designed to provide maximum utility for all types of plastic handling and transporting operations. The online checklists have been created to facilitate customization for your company. For example, each checklist may incorporate a unique company logo and specific process steps may be added or removed to reflect those involved in a particular operation. These enhancements will make it easy to create and copy forms that have the greatest value for your company.

PLASTIC PELLETS IN THE ENVIRONMENT

Pellet Loss — Its Impact and Its Management

In recent years and with increasing frequency, researchers have reported that seabirds, turtles and fish are ingesting a wide variety of plastic items, including pellets that could affect their ability to breathe, swallow or digest foods properly. Most of these plastics are used in consumer products (e.g., bottles, caps, containers) that have been carelessly discarded. Some of this litter is in the form of resin pellets that enter the waste stream and can end up in the ocean and our natural environment. When these pellets are accidentally eaten by wildlife, they may not pass through their digestive tracts, which could lead to malnutrition or even starvation. The impacts of ingested marine debris can be significant and warrant efforts to prevent plastic materials from entering the environment.

While consumers are responsible for the proper recycling and disposal of consumer products and packaging, the plastics industry must focus on proper containment of plastic pellets. We must prevent the pellets from getting into waterways that eventually lead to the sea.

All employees in every aspect of the industry must be educated on how to properly handle and dispose of plastic pellets with the goal of zero pellet loss.
The OCS Program

SPI: The Plastics Industry Trade Association began an education effort in the early 1990s to reduce pellet loss by resin producers, transporters, bulk terminal operators and plastics processors. A number of public service materials under the name of Operation Clean Sweep (OCS) were developed and disseminated to companies nationwide. The message was simple: resin pellets should be contained, reclaimed and/or disposed of properly. It’s now time to refocus our industry on this problem and to expand the OCS initiative to solve it.

The American Chemistry Council (ACC) and SPI are working together on a revitalized OCS program to strengthen efforts to educate and change behavior in the plastics industry with a goal of zero pellet loss. ACC and SPI are strongly committed to this effort and are encouraging plastics companies to participate in the OCS program, which includes the practices and tools outlined in this manual. In addition, ACC and SPI are encouraging companies to sign onto the Declaration for Solutions to Marine Litter, of which the OCS program is a key element. Only by working globally can industry help to affect the introduction of pellets into the environment.

How You Can Help

Each segment of the industry – whether resin producers, transporters, bulk terminal operators or plastics processors – has a role to play in eliminating resin pellet loss. It’s the little things that count – a few pellets here, a handful there. They all add up when you consider the thousands of facilities in the industry and the frequency with which resin pellets are loaded and unloaded.

Commitment by everyone in every company, from top management to shop floor employees, is essential to eliminating pellet loss.

Pellet containment is good for the environment. It’s good for business – pellet loss represents a source of revenue loss. And, in many jurisdictions, there are separate legal or permit requirements addressing pellet loss.

With your help and cooperation, we can make great strides to help our industry protect the environment. ACC and SPI look forward to working with you on Operation Clean Sweep to accomplish this important goal.

VALUE

If you could take a simple step to help strengthen your company’s:

- sustainability initiatives;
- contribution to preserving water quality and wildlife;
- compliance with federal and state regulations and avoidance of fines;
- safety/housekeeping program;
- employees’ well-being;
- operational efficiency;
- financial bottom line; and
- reputation in the community...

...would you take it?
That step is Operation Clean Sweep (OCS), a product stewardship program of the American Chemistry Council’s Plastics Division and The Society of the Plastics Industry, Inc.

The campaign’s goal is: to help every plastic resin handling operation implement good housekeeping and pellet containment practices to work towards achieving zero pellet loss. OCS is being conducted in thousands of plants around the world, all adding to the effort to protect the environment.

Pellet loss has many negative impacts on individual companies, on the plastics industry as a whole and on the environment.

- Slips and falls are a major cause of plastics industry accidents.
- Accidents mean lost work time, higher worker compensation costs and lower employee morale.
- Violations of storm water regulations in states like California can result in civil penalties of up to $3,000 per incident (e.g., Cal. Code. Regs. title 23 § 13385). Any person discharging unauthorized waste in violation of CWC § 13264, could be found guilty of a misdemeanor and may be fined up to $1,000 a day.
- Spilled pellets can eventually end up in our waterways and the ocean. Whether they’re handled in an Iowa plant or a seaside facility, pellets can be transported to storm drains that lead to rivers and then to the ocean — resulting in litter and posing a threat to marine life such as sea birds, turtles, and fish.

When the industry handles pellets as responsibly as possible:

- Pellets are kept out of the natural environment, including waterways and oceans;
- Companies enhance their reputations as good stewards of the environment — an increasingly important factor for attracting the investment community and high-quality employees; and
- More material stays a valuable product rather than becoming waste, improving efficiency.

OCS’ ultimate goal is to help keep plastic pellets out of the environment, but these efforts can also help improve relations with stakeholder groups and community organizations that expect the industry to minimize its environmental footprint.

The industry needs every processor’s help to get positive results.

Please contact us with any input or questions: SPI: (202) 974-5200 | ACC: (202) 249-6618 or email ocs@plasticsindustry.org.
IMPLEMENTATION

1. Commit to making zero pellet loss a priority.
   - Sign the “Pledge to Prevent Resin Pellet Loss.”

2. Assess your company’s situation and needs.
   - Comply with all environmental laws and regulations that address pellet containment.
   - Conduct a site audit.
   - Determine if you have appropriate facilities and equipment.
   - Determine if employees have and are following appropriate procedures.
   - Identify problem areas and develop new procedures to address them.
   - Communicate your experiences to peers in the industry.

3. Make necessary upgrades in facilities and equipment as appropriate.

4. Raise employee awareness and create accountability.
   - Establish written procedures (The procedures and checklists in this manual may be modified to suit your needs. They are available in the checklists section.
   - Make certain the procedures are readily available to employees.
   - Conduct regular employee training and awareness campaigns on OCS.
   - Assign employees the responsibility to monitor and manage pellet containment.
   - Encourage each worker to sign the employee commitment pledge.
   - Solicit employee feedback on your program.
   - Use workplace reminders such as stickers, posters, etc.

5. Follow up and enforce procedures - when management cares, employees will too.
   - Conduct routine inspections of the facility grounds - production areas and parking lots, drainage areas, driveways, etc.
   - Continuously look for ways to improve the program. Share best practices through the OCS Website.
CONDUCT A SITE AUDIT

One of the most effective ways to improve your facility’s containment of pellets is to identify the areas where spills and losses occur most frequently and fix them.

1. Use the site audit checklist to audit every transfer point at your site.
2. Identify the major spill areas.
3. Determine the cause of spills in each area.
4. Research/brainstorm ways to solve each problem.
5. Implement the simplest effective solution.
6. Follow up to measure success.
7. Repeat as necessary.

Most companies may not perform all of the operations on the site audit checklist. Customize the checklist to suit your facility. Add any missing operations.
WORKSITE SETUP

Ensure your worksite is properly set up to prevent loss and assist cleanup.

**FACILITIES** — take the following steps wherever possible and practical:

- To pave or not to pave — that is the question
  - A paved area facilitates cleanup, but allows pellets to be carried into storm drains and the environment by wind and water.
  - Unpaved areas are more difficult to clean, but pellets tend to stay where they fall and can be easily recovered.

Choose the solution that is best for your facility.

- Pave loading/unloading areas where unavoidable spills occur to facilitate cleanup
  - Include a slope or a berm to contain pellets within paved areas.
  - Equip areas with vacuums or brooms and dust pans.
  - Cordless vacuums may be best suited for outdoor cleanup.

- For cleanup in gravel yards, consider fitting vacuums with screen or mesh on intake hoses to collect pellets without disturbing gravel.
- Provide catch trays for use at all car/truck unloading valves.
- Use bulk-handling equipment that is designed to minimize pellet leakage.
- Install central vacuum systems where practical.
- Install connecting hoses equipped with valves that will close automatically when the connection is broken.
- Properly empty and seal bulk containers (rail or truck) prior to shipment. Loss of residual pellets from unsealed “empty” bulk cars and trucks is a significant problem.
- Place pellet disposal cans at rail yards for loading and unloading.
- Assure proper handling when storing and removing waste pellets. All vendors should follow “no loss to the environment” procedures.
- Seal expansion joints in concrete floors with a flexible material to avoid pellet accumulation in hard to clean spaces.
- Conduct routine inspections and maintenance of equipment used to capture and contain pellets.

**Containment Systems**

- Storm drain screens are the last line of defense against accidental pellet release. They should be every facility’s #1 priority for installation.
- Install zero loss containment systems (such as storm drain screens) wherever necessary to prevent pellets from escaping plant boundaries. There are two possible containment systems that could be installed:
  - Area-specific containment systems in each pellet handling area. Area-specific containment systems would be the primary pellet containment systems and the facility-wide system would serve as a backup.
  - Facility-wide containment systems, which are effective in controlling pellet releases from facilities covering a large area and handling large volumes of pellets.

**EPA Regulations**

EPA regulates the discharge of storm water effluents via the National Pollutant Discharge Elimination Systems (NPDES) permitting program (40 C.F.R. §122)

**Prepare for Flooding**

Make sure the containment system can handle heavy rains and flooding. The system should be capable of handling 100-year flood conditions.

**Anticipate Rain**

Design systems to handle 100-year flood conditions.

Use a collector grate and filtered storm drain system with a screen consistent with the range of pellet size handled.
• Place screening in all storm drains. The mesh of the screening should be smaller than the smallest pellet handled at the facility. Clean the storm drain screens weekly to prevent drain clogging and overflow. Pay particular attention to cleaning screens after every rain. Two-stage screens minimize clogging problems.
• Install baffles, skirts and booms in containment ditches or ponds. Use surface skimmers or vacuum systems to remove accumulated pellets.
• To prevent storm drain contamination, employ dry cleanup methods whenever possible. Dry cleanup procedures also prevent pellets from being further contaminated by compounds in the storm water.

Employee Equipment - ensure that employees have ready access to:

• Brooms, dustpans, rakes, etc.
• Heavy-duty shop vacuums for inside use
• Portable shop vacuums for outside use
• Catch trays or tarps
• Wide-mouth sample collection jars or poly-bags
• Tape for repairing bag or box damage
• Scrap pellet containers (drums, bulk boxes, etc.)
• Procedures you expect workers to undertake and checklists to assist in follow-through. (Checklists are available to customize).
• Forklift cleanup kit (see page 13)
• Assure that employees have ready access to the proper cleanup equipment at all locations where spills might occur

<table>
<thead>
<tr>
<th>Slips and Falls</th>
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<td>Slips and falls are the number one cause of plastics industry accidents.</td>
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<th>A Clean Work Area</th>
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<td>A clean work area reduces slips and falls and improves employee morale.</td>
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DESIGNING A TRAINING PROGRAM

Designing a training program involves a sequence of steps that can be grouped into five phases: conducting a needs assessment, defining training objectives, detailing program specifics, implementing the training and evaluating its effectiveness.

1. Needs assessment - conduct a site audit (pages 8 and 29-31) and determine if employees have and are following appropriate procedures. Make needed site improvements and write/modify procedures prior to launching a training program.

2. Instructional objectives - identify what training is needed to ensure procedures are being followed.

3. Details - determine how, who, where and when you will train. Consider the following areas: explaining the environmental impact of pellet loss, defining the role each individual plays in affecting change and ensuring knowledge of appropriate procedures.
   - Use OCS to design and develop the training program and program content.
   - Select the techniques used to facilitate learning (crew meetings, handouts, video, website, etc.).
   - Select the appropriate setting for your meetings.
   - Prepare materials.
   - Identify and train the instructors
   - Create department goals.

4. Implementation - Schedule classes, facilities, participants and instructors, deliver materials, conduct training.

5. Evaluation - Determine participant reaction to the training, how much they learned and to what degree the department goals were met. Re-evaluate all procedures to assess the effectiveness of the OCS program annually.
EMPLOYEE PARTICIPATION AND ACCOUNTABILITY

Ensure employees are aware of and accountable for pellet loss prevention, containment, cleanup and disposal. Establish written procedures. (The procedures and checklists in this manual may be modified to suit your needs. They are available in the Checklists section of this website).

Make certain the procedures are easily available.

Conduct regular employee training and awareness campaigns on the Operation Clean Sweep program.

- Explain the impact of pellet loss on the environment and the company.
- Make spill prevention, cleanup and containment a company philosophy and priority.
- Promote that philosophy daily.
- Assign specific employees the responsibility to monitor and manage pellet containment. If it gets assigned as a regular part of employee jobs, it gets done.
- Consider hiring a full-time housekeeping/warehouse sweeper, if appropriate. Having one person assigned this job improves the efficiency of other workers.
- Stress the importance of immediate cleanup of any spills by the person associated with the spill.
- Review current procedures and identify whether there has been a history of problems in a certain area.
- Reaffirm existing, or develop new, procedures.
- Use workplace reminders such as stickers, posters, etc.
- Encourage teamwork and employee feedback.
- Conduct regular inspections of the entire facility to assure compliance with OCS principles.
- Reward and/or recognize milestones and significant achievements of the crew or crews that achieve designated goals of the pellet loss prevention program.

Spills Will Happen

Ensure that employees:
- Take ownership by taking the pledge.
- Immediately clean up the spill.
- Recycle or dispose of loose pellets properly.

Acknowledge Hard Work

Simple steps, like bringing in a special lunch, to acknowledge employee’s hard work to prevent loss can go a long way in keeping your company’s commitment front and center.
PREVENTION, CONTAINMENT AND CLEANUP PROCEDURES

There are many steps involved in the movement of plastic pellets from the resin production facility, through the distribution network, to the processor. Spills and pellet loss to the environment can occur at any step. The procedures in this section provide best practices for each handling step. Making employees aware of and holding them accountable for these prevention, containment, cleanup and disposal procedures, is the way to zero pellet loss.
Procedures: Pellet Transport and Packaging

Hopper car and hopper truck cleaning, loading, storage and unloading present special resin handling challenges.

Cleaning Empty Hopper Cars and Trucks

- Use air lance to make total pellet removal easier.
- Ensure hopper car and truck cleaning areas have wastewater collection and pellet filtration systems installed.
- Recover all pellets from wash water.
- Recycle, resell or dispose of collected pellets properly.

Cleaning Empty Hopper Cars and Trucks

- Operate the conveying system properly to avoid clogging and necessitating the opening of lines.
- If a line must be opened to clear blockage, anticipate the potential for pellet loss and always place a catch pan or tarp under the connection.
- Remove any spilled pellets from the top of the car/truck before leaving the containment area - residual pellets will fall to the ground as cars are moved outside the plant.

Sealing Loading Cars/Trucks

- Close all outlet caps properly before cars/trucks are moved (and request customers to do the same when re-turning empties).
- Apply seals on all outlet caps (1/8” stranded steel cable or its equivalent).
- Design or modify loading systems so that transfer lines can be completely emptied, with any residual resin being discharged into a container after loading is completed.

Storing at Intermediate Sites

- Consider exposure to vandalism when selecting sites.
- Establish security procedures as necessary (e.g. fencing and lighting).
- Advise companies to report any incidents (e.g. shippers, railroads, trucking companies and processors).

Unloading Hopper Cars and Trucks

Valve Opening

- Contain any possible spill during hook-up by placing a catch pan under the unloading valve before opening.
- Purge unloading tubes within containment area.
- Keep area swept up or vacuumed.
- Consider installing connecting hoses equipped with valves that will close automatically when the connection is broken. Clogged hoses, material bridging in outlets, etc., can require unloading lines to be opened, which presents the risk of spillage.
- Anticipate the potential for pellet loss before opening the line.
- Place pellet disposal cans at rail yards for loading and unloading.
- Have a catch pan or tarp ready to catch pellets.
- Immediately clean up and properly dispose of any spilled pellets.
- Surges in unloading lines can cause pellets to be vented into the environment. To prevent this, install a bag house, filter bag assembly or other control device at the unloading system vent.

Be Vigilant

Pellet loss can occur at any stage of operations. Be vigilant to ensure that pellets don’t escape into the environment.
Completing Unloading

• Ensure that the car/truck is thoroughly unloaded.
• Cycle the outlet valve while air is flowing.
• Visually confirm that each compartment is empty.
• Purge the line before disconnecting.

Sealing Valves

• Close all valves.
• Secure outlet caps and top hatches.

Sampling

• Conduct sampling only in areas protected by containment equipment.
• Review procedures for taking samples to eliminate any possible spillage.
• Use wide-mouth containers or poly-bags for samples.
• Use a funnel collection system to effectively channel pellets into containers.
• Sampling from unloading tubes:
  - Place a catch pan or heavy duty tarp under outlet before opening to catch any spills. (Several commercial devices have been developed specifically for preventing spills during sampling.)
• Sampling from top hatches:
  - Exercise extra caution to avoid spillage, which can also pose a slipping hazard.
  - Close hatches and apply cable seals to prevent access by vandals.

Procedures: Spills and Packaging

Spills

• Exercise caution to avoid spillage.
• Clean up any spills immediately.

Packaging

Using the proper packaging, filling and material-handling procedures can go a long way in minimizing pellet loss.

Selecting Packaging Materials

• Use packaging designed to minimize the possibility of breakage and pellet leakage. Use puncture-resistant shipping containers where possible.
• Use reinforced bags, such as woven polypropylene bags, and line larger containers with puncture-resistant material.
• Minimize the use of valved bags, or seal valved bags immediately after filling.

Collecting Spilled Pellets

Collecting spilled pellets reduces contamination, permitting normal usage rather than requiring disposal.
Bags: Filling and Handling

- Inspect all pallets for protruding nails or broken boards.
- Use bags that are not easily punctured.
- Use a heavier weight container/bag if breakage is a recurring problem.
- Move and stack bags immediately after filling to avoid seepage.
- Tape leaks or replace leaking bags.
- Regularly clean up pellets spilled during the filling process. Where possible, select filling equipment designed to prevent pellet loss.
- Implement warehouse and handling procedures that minimize the chance of pellet spillage.
- Dispose of collected pellets properly.

Bags: Emptying and Disposal

- Thoroughly empty bags.
- Collect, handle, store and transport the empty bags to avoid/contain the escape of pellets.
- Recycle plastic resin bags, shrink-wrap and stretch-wrap, whenever possible. Visit [www.plasticfilmrecycling.org](http://www.plasticfilmrecycling.org) for more information.
- Dispose of packaging by incineration or in a well-managed landfill.
- Stress the need for “no loss to the environment” procedures.

Bulk Boxes

- Use bulk boxes that are not easily punctured.
- Tape leaks or replace leaking boxes.
- Regularly clean up pellets spilled during the filling process.
- Dispose of collected pellets properly.

Improve Palletizing Methods

- Move and stack bags immediately after filling to avoid seepage from valves.
- Stack bags on pallet in tight, interlocking patterns.
- Shrink or stretch-wrap pallet to stabilize stacks and help contain lost pellets.
- Use corrugated cardboard caps on the top and bottom of pallets to minimize puncturing or tearing bags and to contain loose pellets.
- Block and brace outbound loads to avoid broken bags in transit.

Handling Materials

- Forklift operators must be trained and skilled in damage prevention as well as proper cleanup.
- Institute handling procedures that minimize puncture of bags and boxes with forklift tines.
- Repair or replace punctured packages and cleanup any spills immediately to prevent loss of pellets. Sealing a leak when it occurs is much easier than sweeping 100 yards of warehouse.
- Consider outfitting all forklifts with a Cleanup Kit.
- Place catch trays between the dock and trailer at shipping and receiving bays.
- Inspect pellet packaging before offloading, particularly pellets bagged in unreinforced paper or corrugated bulk boxes. This will prevent pellet release through the gap between the vehicle and the loading dock.
Storage

• Consider covering all packaging resin stored outside (gaylords, supersacks, etc.) to prevent photo degradation of the containers.

Procedures: Other Transport Vehicle Concerns

Container Trucks

• Shipping
  - Sweep or vacuum any loose pellets in the truck/container.
  - Carefully inspect empty trailers for damaged interior walls or defective floors that can tear bags. Consider refusing to use such containers or cover problem areas with corrugated liner board.
  - Block and brace outbound loads to avoid broken bags in transit.

• Receiving
  - Inspect truck and rail shipments containing palletized bags of pellets and document the condition of bags and pallets received. If the shipment is significantly damaged, notify the transporter and manufacturer. Consider refusing to accept delivery.

Hopper Cars and Trucks – Repairs

• Work in a paved area to facilitate containment and cleanup.
• Properly contain, handle or recycle small quantities of residual pellets. If larger quantities are involved, contact the shipper.

Transport Accidents

• Contact the shipper for assistance/advice if a derailment or highway accident results in a spill of resin pellets.

Procedures: Marine Transport

Marine transport of pellets requires special attention due to the high potential for release into the environment. Because of the close proximity to water, loose pellets in and around waterfront warehouses, docks, ocean-going containers and on ships themselves must receive extra attention.

Anyone handling pellets directly or managing their shipment must be well-informed about the importance of spill prevention, the need for prompt cleanup and proper disposal practices.

• Do NOT sweep pellets into the water.
• Properly contain and handle any pellets from previous shipments when cleaning ship holds or ocean containers.
• Keep ocean containers in good repair – eliminate protrusions that could tear bags and boxes.
• Avoid stowing resin containers on deck. Place resin containers in ship holds.
• Do NOT jettison containers of resin.
**Procedures: Waste Recycling and Disposal**

Ensure pellets are properly disposed of to avoid contaminating the environment.

- Store waste pellets in properly labeled containers.
  - Do not permit loose pellets to accumulate on the ground or floors.
  - Install a minimum of one pellet-specific waste container in each pellet-handling area.
  - Routinely check that there is adequate waste storage capacity.
- Use separate containers for recyclable and non-recyclable pellets.
- Use only covered containers or vehicles without leaks.
- Inspect and confirm proper handling and storage procedures if an outside vendor is used for waste removal.
  - Stress the need for “no loss to the environment” procedures.
- Preferred disposal methods are:
  - Recycle or resell waste pellets.
  - Approved incineration of waste pellets in properly licensed and operated incinerators.
  - Deposit in a controlled landfill only after confining pellets in such a manner that prevents their loss due to rain, wind, flooding, etc.
  - Consider using waste pellets in a fuel-blending program.
- Include pellet retention capabilities and practices in criteria for selecting waste disposal companies.

**Procedures: Dust and Powder**

**Methods to Help Minimize Generation and Release of Plastic Dust and Powder**

This specifically focuses on methods to help minimize generation and release of plastic dust and powder. There are several approaches that can be taken. You may wish to consider whether other ways are more appropriate for your operations. Consult with the manufacturer of the resin you are handling for specific handling, containment and disposal information.

*For purposes of this discussion:*

**Plastic Dust** is particulate matter that may be formed when plastics are handled, conveyed and/or processed. One of the most common means of generation is via abrasion during the air conveying of plastic pellets. In addition to conveying, plastic dust may be generated when plastic raw materials or finished products are:

- Granulated;
- Pelletized;
- Cut;
- Machined;
- Filed; or
- Transported

**Plastic Powder** is a form of plastic raw material used in operations where a fine particle size is critical for processing. Plastic powder can escape plastic handling or processing equipment. If that occurs; handling, containment and
recovery considerations are similar to plastic dust. Typically powders may escape through:

- leaks in storage silos, tanks and containers;
- leaks in pneumatic or mechanical conveyors;
- leaks in blenders or other processing equipment; or
- during loading/unloading operations or transfer operations.

**Methods to Consider for Minimizing the Generation of Plastic Dust**

The best way to control dust is to minimize its creation in the first place. There are several approaches that can be taken to help minimize the generation of plastic dust. For example:

- When pelletizing, keep cutting equipment in good condition with sharp blades;
- Design conveying systems to treat the plastic gently and take other steps to help avoid collisions and impacts with hard surfaces and other pellets, thereby avoiding plastic fracture. Methods to consider, can include, use of long sweep elbows and avoid having the plastic pass through a blower;
- Use appropriately sized granulators;
- When machining plastics, use an appropriate machine set up for the material and provide appropriate waste collection equipment;
- Use appropriately sized granulators;
- Store plastics and additives in appropriate containers maintained in good condition; and
- Promote awareness to employees of methods of handling and processing of the plastic to help minimize dust creation.

**Methods to Consider for Minimizing the Release of Plastic Dust and Powder**

There are several approaches that can be taken to help minimize the release of plastic dust and powder. For example:

- Keep storage silos, tanks and containers in good condition, to help avoid holes, cracks or leaks;
- Maintain loading/unloading and transfer equipment with good seals to help avoid leaks;
- Conveying equipment should be appropriate for the task and maintained in good condition;
- Place collection trays under discharge/loading valves and connection points when making or breaking connections;
- Use processing equipment (and the equipment that feeds it) that helps minimize the release of dust/powder;
- Clean up all spills promptly; wind and traffic can quickly disperse dusts and powders;
- Encourage employees and/or contractors to look for dust/powder leaks and to correct any that occur; and
- Promote employee awareness of training and reminders regarding the need to prevent dust/powder from escaping into the environment.

**Methods to Consider for the Capture and Containment of Plastic Dust**

Plastic dust creation can be minimized but not eliminated entirely. There are several approaches that can be taken to help in the capture and containment of plastic dust. For example:

- Use properly designed and sized dust collection equipment in all operations that generate or liberate plastic dust;
- Maintain the dust collection equipment according to manufacturers’ recommendations;
- Use the recommended filters for the type and amount of dust generated;
- Clean or replace filters or other collection equipment as needed;
- Promote awareness of procedures for clean-up of plastic dust spills, or plastic dust that has settled on surfaces.

---

1 Dust from plastics may combine with dust from other materials within the plant site. Review MSDS for information on the proper capture, containment and disposal equipment and procedures.

Any dust, no matter what the material, can be explosive if in the proper concentration in air. When handling dusts, take precautions not to aerate it and to keep ignition sources away.
in and around the plant;
- Promote maintenance/housekeeping procedures that minimize dust accumulation around the facility;
- Store captured plastic dust in containers that are designed to help minimize leaks;
- Promote employee awareness in procedures for handling plastic dust, including industrial hygiene considerations; and
- Comply with applicable federal, state and local regulations for containment systems.

Disposal

Proper disposal of plastic dust and powder can be critical to help minimize the amount released to the environment. Choosing a disposal method involves considering the materials that constitute the dust/powder and the disposal requirements of those materials.

- Review the MSDS for each type of plastic used in the process.
- Dispose of dust or powder using a method that complies with all federal, state and local regulations and guidelines and/or applicable codes and standards.

Disclaimer: Methods to Help Minimize the Generation and Release of Plastic Dust and Powder is a part of The Operation Clean Sweep® (OCS) program, a joint program of the American Plastics Council and the Society for the Plastics Industry, Inc. (collectively, “OCS Sponsors”). The OCS program and manual contains guidelines to help plastics industry operations managers reduce the loss of pellets to the environment. Each procedure contained herein may or may not be applicable to your specific operation. Manual users are free to implement the sections and steps that help achieve your company’s specific goals. None of the guidelines are intended as a mandate. Compliance with state and local regulations are mandatory. These guidelines may help you to achieve compliance and avoid penalties. OCS Sponsors do not make any warranty or representation either express or implied, with respect to the accuracy or completeness of the information contained in this document nor do OCS Sponsors assume any liability of any kind resulting from the use or reliance upon anything contained in this document. Compliance with applicable laws and regulations remains the full responsibility of the parties to which the law or regulation applies.

PLEDGE TO PREVENT PELLET LOSS

Take the Pledge for Your Company

To demonstrate your commitment to a clean environment, please complete the “Pledge to Prevent Resin Pellet Loss” or complete and fax a copy to ACC/SPI at (202) 296-7005 or email to ocs@plasticsindustry.org.

The pledge must be signed by an officer of the company.

In return, your company will receive a certificate suitable for display affirming your commitment to being an Operation Clean Sweep Partner.

Signing this pledge will qualify your company’s name to be added (unless otherwise specified) to the list of OCS Program Partners on the Operation Clean Sweep website. Listed partner company names may be used in publicity for the program.

Have Your Employees Take the Pledge

- Individual personal commitment of every employee is the key to success.
- Encourage every employee to be fully engaged and committed to following the OCS principles every day.
- Having each employee sign a personal pledge found in the checklist section is an effective way to gain that commitment.
CHECKLIST

We have provided a number of checklists to assist you in implementing OCS. The checklists are divided into two categories: Management and Employee. The checklists have been created so they are customizable for your company. For example, you can insert your company logo and specific process steps may be added or removed to reflect those involved in a particular operation. These enhancements will make it easy to create and copy forms that have the greatest value for your company. For online checklists, visit: www.opcleansweep.org/Manual/Checklist.html.

Management Checklists

- Site Audit
- Implementation & Training
- Facility Equipment
- Employee Equipment

Employee Checklists

- Processor Operations
- Warehouse
- Car Cleaning/Loading
- Railroad
- Transloader
## Site Audit Management Checklist

### Bulk Hopper Car/Truck Unloading Area
- Spill Problem: Yes  No
- Cause of Spill: 
  - Solution: 
- Implementation Date: 

### Receiving Dock - Bags And Boxes
- Spill Problem: Yes  No
- Cause of Spill: 
  - Solution: 
- Implementation Date: 

### Silo Area
- Spill Problem: Yes  No
- Cause of Spill: 
  - Solution: 
- Implementation Date: 

### Transfer Equipment - Blower
- Spill Problem: Yes  No
- Cause of Spill: 
  - Solution: 
- Implementation Date: 

---

Audited By: 
Audit Date: 
<table>
<thead>
<tr>
<th>Equipment Type</th>
<th>Spill Problem</th>
<th>Cause of Spill</th>
<th>Solution</th>
<th>Implementation Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Transfer Equipment - Bag House</td>
<td>Yes</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Transfer Equipment - Line Connectors</td>
<td>Yes</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Box/Bag Handling</td>
<td>Yes</td>
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<tr>
<td>Blending Equipment</td>
<td>Yes</td>
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</tr>
<tr>
<td>Processing Line - Extrusion Feed Hoppers</td>
<td>Yes</td>
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Audited By: __________________________
Audit Date: __________________________

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<thead>
<tr>
<th>Sampling Areas</th>
<th>Spill Problem</th>
<th>Cause of Spill</th>
<th>Solution</th>
<th>Implementation Date</th>
</tr>
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<tbody>
<tr>
<td></td>
<td>Yes</td>
<td></td>
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<tr>
<td>Area</td>
<td>Spill Problem</td>
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<td>No</td>
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<td>-----------------------</td>
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<tr>
<td><strong>Packaging Areas - Bulk Box</strong></td>
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<td></td>
<td></td>
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<tr>
<td>Cause of Spill:</td>
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<td></td>
</tr>
<tr>
<td>Solution:</td>
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<td></td>
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<tr>
<td>Implementation Date:</td>
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</tr>
<tr>
<td><strong>Packaging Areas - Bag</strong></td>
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<td></td>
</tr>
<tr>
<td>Cause of Spill:</td>
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<td></td>
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<td></td>
</tr>
<tr>
<td>Solution:</td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Implementation Date:</td>
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<tr>
<td><strong>Warehouse/Storage</strong></td>
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<tr>
<td>Cause of Spill:</td>
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<td></td>
</tr>
<tr>
<td>Solution:</td>
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<tr>
<td>Implementation Date:</td>
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<tr>
<td><strong>Shipping Dock</strong></td>
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<tr>
<td>Cause of Spill:</td>
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<td></td>
</tr>
<tr>
<td>Solution:</td>
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<td></td>
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<tr>
<td>Implementation Date:</td>
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<td></td>
</tr>
</tbody>
</table>

Audited By: __________________________
Audit Date: __________________________

Manager: ___________________________
Signature: __________________________
### Procedures
- Signed the “Pledge”
- Conduct site audit
- Review or create written procedures
- Assign responsibility for each crew/individual
- Put management inspection program in place
- Plan follow up and review

### Training
- Crew training meetings conducted
- Shift #1  
- Shift #2  
- Shift #3  
- Shift #4  

---

Manager:  
Signature/Date:  
Company: 
Department: 

**Storm Drain Screens**
- Number of drains: 
- Number of drains with screens: 
- Target date to complete screen installation: 
- Drain screen inspection/cleaning frequency: 
- Screen repairs required: 

**Unloading Areas**
- Paved
- Unpaved
  - Tarps/catch pans available in area
  - Disposal receptacles in area

**Transfer Systems**
- Bag House/filters OK
- Pipe, hoses and connections leak free
- Disconnects with auto closing valves

**Sweepings Disposal**
- Contractor agrees to zero loss disposal procedures
- Proper interim storage containers available

Inspected By: 
Inspection Date: 
**Available For Use**

- Brooms
- Dust pans
- Repair tape
- Vacuum system
  - Central
  - Portable
- Catch pans
- Sample containers
- Scrap pellet container
- Elastic cord
- Buckets for forklift cleanup

**EMPLOYEE EQUIPMENT CHECKLIST**

Company: 
Department: 

Inspected By: 
Inspection Date: 
## Site Audit Management Checklist

**Company:**

**Department:**

**Operation:**

**Crew/Shift:**

**Inspector:**

**Date:**

<table>
<thead>
<tr>
<th>Problem Areas</th>
<th>Condition at START of Shift</th>
<th>Condition at END of Shift</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Excellent</td>
<td>Good</td>
</tr>
<tr>
<td>Silos</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Transfer Lines</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bag/Box Feeding</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dryer</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Extruder Hoppers</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Problem Areas</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Spill Recovered:</strong></td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>If not, why:</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Sweeping Properly:</strong></td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>Disposed of:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If not, why:</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Samples Taken:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Number:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Inspected By:**

**Inspection Date:**
Operation: ____________________________
Crew/Shift: ____________________________
Inspector: ____________________________
Date: ____________________________

Receiving Area:

Loading dock catch pans in place for receipt of container shipments
Rail truck unloading valve catch pans in place before openings
Samples collected in approved containers
Container trucks cleaned after unloading
Hopper car/truck valve covers in place before moving
  Full walk around conducted
  Transfer lines flushed and clean
Dock area swept clean
Car/Truck unloading area clean
Raw Material Storage area clean
Aisles in clean condition
No leaking boxes
No leaking bags
Waste collection containers emptied
Boxes cleaned and flattened
Bags fully emptied prior to disposal
Broken pallets repaired or replaced

Inspected By: ____________________________
Inspection Date: ____________________________
**Receiving Area:**

- Containment for wash area operational
- Compartments empty and clean
  - Air lance operational
  - Unloading outlet tubes totally clean
  - Pellet recovery from wash water 100%

**Car Loading:**

- No line blockages or clogging
- Catch pans in place for connections
- Transfer lines flushed after each car loading completed
- All outlets secure and sealed after filling (Seals are all 1/8 inch braided steel or stronger)
  - Top
  - Bottom
- Top of car clear before release

---

In a clean and organized format, the checklist ensures that all necessary conditions are met before car cleaning and loading are completed. The checklist is structured to ensure comprehensive coverage of the receiving and loading areas, ensuring operational efficiency and compliance with standards.
RAILROAD CHECKLIST

Company: 
Department: 

Operation: 
Crew/Shift: 
Inspector: 
Date: 

Storage in Transit Areas:

Secure
   Fenced
   Adequate lighting

Regular Inspections
   Car valve covers and seals in place or shipper notified

Inspected By: 
Inspection Date: 

www.opcleansweep.org

Operation Clean Sweep®

American Chemistry Council

SPI the plastics industry trade association
Company: 
Department: 

Operation: 
Crew/Shift: 
Inspector: 
Date: 

Receiving Area:
Wash system containment operational
Compartments empty and clean
#1 #2 #3 #4
Unloading outlet tubes totally clean

Transfer (Transfer area: Gravel __ Asphal __)
Car seals in place before start of transfer
Catch pans in place prior to valve opening
Transfer lines flushed and clean after transfer
All outlets secure and sealed at completion and prior to moving
Car
  Top
  Bottom
Truck
  Top
  Bottom
Spills cleaned and disposed of properly
Samples taken without material loss
Unloading problems experienced

Inspected By: 
Inspection Date: 
Employee Pledge to Prevent Resin Pellet Loss

I recognize our company’s commitment to Operational Clean Sweep and the goal of preventing pellet loss into the environment. I will do my daily job in a manner that strives to:

- Prevent Pellet Loss;
- Contain Spills;
- Cleanup Swiftly and Effectively; and
- Dispose of Pellets Appropriately

Company Name: _______________________________________________________________
Department/Crew: _____________________________________________________________
Employee Name: _______________________________________________________________
Signature: ___________________________ Date: ___________________________