Assessment Handbook

It is your responsibility as a student to read this document carefully and to refer to the information and guidance it provides for the different stages of your study. If you need further clarification, you can ask your Student Support Team for advice, or contact one of the named offices referred to in this Handbook.

If you are a continuing student with the University, you need to be aware that some aspects of policy, procedure and deadlines relating to assessment matters have changed and you must not assume that arrangements which have applied to your previous study continue to be applicable. You must ensure that you refer to current information, including this Handbook.

This document has been produced by the Assessment Policy Office at The Open University.

This handbook is available online from www.open.ac.uk/students/, www2.open.ac.uk/students/help/topic/assessments-and-exams and www.open.ac.uk/students/charter/essential-documents

While we have done everything possible to ensure accuracy, the information in this publication may change in the light of altered regulations or policy or because of financial or other constraints.

We may record our phone calls with you to make sure that we have carried out your instructions correctly and to help us improve our services through staff training.

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## Section 1 Introduction

1.1 How to use this Handbook 3
1.2 Types of assessment 3
1.3 Your StudentHome page 4
1.4 Assessment results 4
1.5 Additional needs and assessment 4
1.6 Assessment and completion of modules 4

## Section 2 Assignments

2.1 Continuous assessment 5
2.2 Assignment scores 6
2.3 Preparing assignments 7
2.4 Submitting TMAs 8
2.5 Marking of TMAs 11
2.6 Submitting CMAs 13
2.7 Marking CMAs 15
2.8 Substitution 15
2.9 Special circumstances affecting continuous assessment 16
2.10 Posting assignments on websites 17

## Section 3 End-of-module assessment tasks

3.1 Examinable components 17
3.2 Examinations 18
3.3 Examination arrangements 18
3.4 Absence from examinations 21
3.5 Special Circumstances relating to examinations 23
3.6 Modules with other examinable work 24
3.7 Late submission of examinable work 25
3.8 Non-submission of examinable work 25
3.9 Special circumstances relating to other examinable work 27

## Section 4 Module results

4.1 Assessment strategies 28
4.2 Determining results 28
4.3 Examination and Assessment Boards 29
4.4 Your result 30
4.5 Result grades 31
4.6 Results and resubmissions 32
4.7 Getting your result 34
4.8 Viva voce examinations 35
4.9 Module result checks 35
4.10 Formal module result appeals 36
4.11 Aegrotat credit 37

## Summary of changes

38

## Appendix 1 - Plagiarism

40

## Appendix 2 - Substitution: how it works

42

## Appendix 3 – Modules included in the new awarding structure in October 2016

44

## Contact information

45

**Appendix 4 - Module grading and resit/resubmission information - separate document**
Section 1 Introduction

1.1 How to use this Handbook

This Assessment Handbook is for you to use during your module or programme of study. It outlines the University's policies relating to the different elements of assessment you may have to complete for your module or programme and explains the outcomes and/or module result you will receive at the end of each module. You’ll find our formal Code of Practice for Student Assessment (along with our other student regulations and formal policies) on our website at [www.open.ac.uk/students/charter/essential-documents](http://www.open.ac.uk/students/charter/essential-documents). At appropriate points in your study you will also be directed to other documents which provide specific information relating to assessment such as the Examination Arrangements booklet, or the Submitting Examinable Work booklet.

This Handbook tells you the general rules and regulations for completing and submitting your assessed work and explains the result grades we use, while module or programme-specific information such as your Module Guide, Study Planner and Assignment Booklet will tell you about the individual elements of assessment in your module.

This Handbook contains four appendices which provide information about the University's statement on Plagiarism; How substitution works and the result statuses which apply to individual modules.

You can also refer to the HelpCentre where you’ll find additional information about assessment, for example, a glossary of some of the phrases, terms and abbreviations used in assessment, and a selection of forms and information sheets. The site also includes links to other useful websites.

This Handbook is updated regularly, and the most up-to-date version will always be available from Essential Documents. During 2013 a number of changes to policy and procedures relating to assessment were introduced, so if you are returning to study after a break of a year or more it is very important that you familiarise yourself with them. The major changes relate to:

- Deferral and assessment banking – section 1.6
- Absence from examinations and postponements – section 3.4
- Deadline for submitting special circumstances – sections 3.5 and 3.9
- Late submission of examinable work – section 3.7
- Non-submission of examinable work – section 3.8
- Resits and resubmissions – section 4.6

Other significant changes to this publication relate to:

- the requirement to provide photo identification when attending an examination – section 3.3.5
- A change to the deadline for notifying the University when you wish to electively postpone your resit examination or resubmission EMA – section 4.6.2.

1.2 Types of assessment

The University has some standard types of assessment that we may use in your module: assignments, oral or practical assessments, projects, examinations, dissertations and portfolios. There are other types of assessment, but these are the most common.
Open University modules usually have two assessment components: assignments completed during the module known as continuous assessment (see Section 2), and an examination or other examinable piece of work such as a project, portfolio or dissertation (see Section 3). These pieces of examinable work are usually referred to as end-of-module assessments or EMAs.

Some modules will use only one component of assessment.

1.3 Your StudentHome page

You must make sure that you are able to sign on to StudentHome at the start of your module using the username and password we sent you when we confirmed your registration. If you have any problems signing in, you’ll find some instructions on the screen to help you or you can contact our Computing Helpdesk by email ou-computing-helpdesk@open.ac.uk

As well as a wealth of valuable study resources, you will be able to quickly access assessment-related information, including:

- your assignment scores for your current module
- (if your module has an examination) your examination date and time and the address of your allocated examination centre once this information becomes available. You will also be told how to ask for an examination at a non-established centre.
- (if your module has an EMA) the cut-off date for your work to be submitted once it is available
- results for previous modules and the result of your current module, once it is available
- your module website
- information about our modules and qualifications.

1.4 Assessment results

Your scores for assignments will be notified to you throughout your period of study and will be displayed on your StudentHome page. Your final module result along with a score for your examination or EMA will also be displayed in the ‘Study’ tab of your StudentHome page when it is available. Your StudentHome page will provide you with an indication of when we expect your final module result to be released, and we will usually notify you by email when your module result is available. If your module contributes to a qualification, you will receive an offer of qualification along with any classification when you have completed the required study. This will normally happen within a week of the issue of your final module result.

1.5 Additional needs and assessment

Further information relating to assessment for students with additional needs can be accessed from the StudentHome Help Centre www2.open.ac.uk/students/help/topic/disability/category/supporting-exams-and-assessments or by asking your Student Support Team.

1.6 Assessment and completion of modules

When you have registered on a module, you are normally expected to complete all the assessment activities and finish the module in line with the cut-off dates in your Study Planner.
However, the University recognises that in some cases, students may start to study a module but are then unable to complete all aspects of study or assessment within the scheduled timetable.

You may be permitted short extensions to individual assignments (see Section 2).

If you want to suspend or reduce your study intensity, or delay the completion of study and/or assessment, you may be able to withdraw from a module and then join the next presentation to complete it. We refer to this as ‘deferral’. Please refer to our Deferrals and Withdrawals policy available from the Assessment section of Essential Documents for further information. If you defer, you may be able to carry the assignment scores you have already completed over to your deferred presentation. If you carry scores forward, we refer to this as Assessment Banking. You may apply for deferral at any point up to the last working day before the date of the final piece of assessment for your module, which will normally be the examination or the cut-off date for your EMA submission.

You can find more information about Deferral and Assessment Banking from the Assessment section of Essential Documents. You will need to receive and acknowledge that you have received individual advice before a deferral can be approved.

In some very limited circumstances, you may be eligible to postpone the examination or submission of the EMA only to the next available assessment period on a discretionary basis. You would need to meet University criteria and provide third party documentary evidence. You can find more information about this in sections 3.3 to 3.7.

If you are awarded a Fail-entitled to resit or Fail-entitled to resubmit module result where the assessment is scheduled within twelve to sixteen weeks of your original examination period or submission date, you can elect to postpone this "early" resit or resubmission to the next available opportunity. You can find more information about this in section 4.6.

Section 2 Assignments

2.1 Continuous assessment

Your continuous assessment can be made up of tutor-marked assignments (TMAs) and computer-marked assignments (CMAs). Some CMAs are submitted via an online form or are interactive (iCMAs). TMAs are usually essay or short-answer questions, although following the need to build Employability skills into modules, there is a move towards much more variation in the type of task that students have to do in a TMA. Examples include: creation of a poster, creation of a PowerPoint presentation, reports based on group work as part of their continuous assessment. CMAs are made up of a series of questions and you choose the answers from a given selection. iCMAs can include a range of question types.

You must submit your assignments on or before the cut-off dates given in the Study Planner for your module unless you have been granted an extension before the cut-off date. Extensions are not permitted for CMAs/iCMAs.

2.1.1 Formative and summative assignments

‘Formative’ assignments are set for teaching purposes only and the scores you get for them don’t count towards your overall continuous assessment score (OCAS). Some formative assignments will be compulsory, so must be submitted even though the scores do not contribute to your result.
However, most of your assignments will be ‘summative’, and the scores for these assignments are combined to make up your overall continuous assessment score (OCAS).

The assessment strategy for every module is accessible via the module record page on StudentHome. This will tell you which category each assignment falls into.

2.1.2 Keeping your assignments
You should always check carefully that you are submitting the correct assignment and keep a copy of each assignment you submit. If you submit electronically you must wait until you get the on-screen receipt to be sure that it has been accepted by the system. You should make a note of the receipt number as proof of your successful submission. Please see Section 2.5.9 ‘Keeping copies of your TMAs’ for more information.

If you are submitting an assignment on paper, you should retain a photocopy along with proof of posting (see ‘Submitting TMAs on paper’ in Section 2.4). This evidence will be required in the event that a document sent in the post is not delivered or delivered late. The University will not accept any responsibility for assignments that don’t reach your tutor or the appropriate office by the due date, and you will be asked to provide evidence that your work was submitted on time if you claim that an assignment is missing from your student record.

Most students keep their marked assignments until at least the end of the module or qualification, largely because they’re an invaluable aid to revision. **We strongly advise you to keep your marked assignments, along with your proof of posting or submission, until you have your module or qualification result. We are not able to provide you with copies of your assignments.**

2.2 Assignment scores

2.2.1 Calculating your overall continuous assessment score (OCAS)
Individual assignments are weighted to reflect their relative importance. In working out your overall continuous assessment score (OCAS), the assignment score your tutor gives is multiplied by the assignment weighting to produce the figure that will count towards your continuous assessment. You’ll be given a zero score for any summative assignment you don’t submit, unless your module allows ‘substitution’ (explained in Section 2.8, ‘Substitution’).

Your module may have a ‘threshold’ for one or more of the elements of continuous assessment. This is a minimum score on a particular element that you must reach in order to pass the module.

The assessment strategy for each module, which will include all the information of this kind, is explained in the module materials. We also provide a standardised version of the assessment strategy for every module which is accessible via the module record page on StudentHome.

On the majority of modules your performance in all forms of assessment is recorded and reported back to you in line with the following numerical University Scale.
<table>
<thead>
<tr>
<th>Band</th>
<th>University scale score</th>
<th>Performance standard</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>85-100</td>
<td>Pass 1</td>
</tr>
<tr>
<td>B</td>
<td>70-84</td>
<td>Pass 2</td>
</tr>
<tr>
<td>C</td>
<td>55-69</td>
<td>Pass 3</td>
</tr>
<tr>
<td>D</td>
<td>40-54</td>
<td>Pass 4</td>
</tr>
<tr>
<td>E</td>
<td>30-39</td>
<td>Bare fail</td>
</tr>
<tr>
<td>F</td>
<td>15-29</td>
<td>Fail</td>
</tr>
<tr>
<td>G</td>
<td>0-14</td>
<td>Bad fail</td>
</tr>
</tbody>
</table>

Some modules use other scales for marking individual assignments, and those will be explained in your assignment material, but the overall score for an assignment will be recorded and reported using the University Scale.

2.3 Preparing assignments

Your assignments serve two main purposes. They help you to learn and they enable the University to judge the standard you’ve achieved. The preparatory work you do for an assignment and the process of writing it should help you to concentrate on particular aspects of the module and to consolidate what you’ve learned so far.

The nature of assignments will obviously vary from module to module. Most module teams offer advice and suggestions in the assignment notes about the scope of the question and what’s expected of you.

2.3.1 Should you discuss your assignments with other students?

Although some students find that they work best on their own, many learn a great deal from discussing aspects of the module with others. The opinions and insights that you form while discussing a question are no less valuable than those you form while reading books or listening to lectures. We assure you that there’s nothing wrong in discussing assignment questions with fellow students, or other people, before you begin your work. But when you sit down to write your assignment you are expected to work alone and not to use other people’s work (in its entirety or in part) as if it is your own.

2.3.2 Good academic practice and plagiarism

The University’s Plagiarism Statement can be found as Appendix 1 to this Handbook. Please read it carefully and follow the link to the Developing Good Academic Practice site. The University uses text comparison software to help detect plagiarism which will check your assignments against both the work of other students and against internet sources and other published material. If you submit an assignment that contains work that is not your own without indicating this to the marker you are committing plagiarism and this is an offence under SD1.8 of the Code of Practice for Student Discipline.
2.3.3 Confidentiality of assignments and other assessments

All information you give in assignments and other assessments is regarded as confidential to you, your tutor or practice assessor, the marker, and the University, and won’t be divulged to anyone outside the University.

However, some programmes have additional confidentiality guidelines and data protection rules which you will be told about in the assignment guide for your module or your programme-specific information.

The assessment for some modules includes work done using collaborative tools, for example, forums, wikis or blogs. By design these tools are accessible to anyone with the relevant permissions to the website on which they are hosted and, as such, the work done in these media cannot be considered to be confidential.

In your assignments and elsewhere in your module you may be encouraged to apply the module content to your own work. This sometimes means that you may need to give information about, and occasionally to pass judgement on, the company or organisation for which you work or have worked for in the past. The University treats such information with complete confidentiality. Tutors may use it only for purposes directly connected with the teaching of the module, and may not divulge it for any other purpose during or after their service with the University.

2.3.4 Processing personal data as part of your studies

Students aren’t usually expected to process personal data as part of their Open University studies, but if you do need to do so (perhaps for the purposes of a project) you must obtain the agreement of your tutor or supervisor that the processing is necessary and immediately inform the Data Protection Coordinator. The address is at the end of this Handbook.

The Data Protection Coordinator will advise you about the requirements and implications of the Data Protection Act, including the security arrangements appropriate to your set of personal data. The Data Protection Coordinator will also be responsible for dealing with subject-access requests related to personal data you hold.

2.4 Submitting TMAs

There are two different methods of submitting TMAs, either online via a link on your StudentHome page or on paper. Your module materials will tell you which of these methods you should use, or whether you can choose which to use. For some modules the nature of the TMAs means that it isn’t possible to submit online for all of the TMAs, so you may find that you use paper for some TMAs and submit others online.

The cut-off date given in your Study Planner is the last date by which each assignment should arrive. We strongly advise you not to leave submission of your TMAs until the last minute.

If your TMA is not received on or before the cut-off date, it will not be marked and you will not get a score for it unless you have already had an extension to the cut-off date agreed. For the last TMA on your module, your tutor cannot agree an extension beyond the first day of the month in which the module ends.

If you are submitting your assignment online, you should ensure that you submit well before midday (UK local time) on the cut-off date in case you experience technical problems.
However, there is a 12-hour grace period so any TMAs received before midnight on the cut-off date will still be accepted.

If you are submitting on paper, you should allow at least three days for delivery and ensure you obtain proof of posting. Don’t use any form of delivery which requires a signature on receipt, and do ensure that you put sufficient postage on the envelope.

If you have difficulties submitting your assignment online using the link on your StudentHome page, you can submit by email directly to the University (not to your tutor). However, submission via StudentHome is always preferable because it guides you through the process, and receipt by the system is quicker.

For more detailed information about submitting assignments, see The Assignments section of the Help Centre.

2.4.1 Submitting TMAs online

You should note that you can only submit one file for each TMA (if you submit a second file it will overwrite the first one, unless your tutor has already downloaded it, or the cut-off date has passed). This means that if your TMA consists of more than one file you need to zip the files together. You must ensure that your submission contains all of your TMA, that you are submitting the correct version of your TMA, and that it is in the right file format. If you submit a wrong file, or an unreadable file, you will not be allowed to submit another version.

Unless your module materials tell you otherwise, your file must be no bigger than 10MB in size. If it exceeds this size, you will not be able to submit it. You can either zip the file to reduce its size, or reduce the size by, for example, converting image files to a different format.

The Assignments section of the Help Centre gives further information about submitting TMAs online.

2.4.2 Partly completed TMAs

Unless you’re told otherwise, you must send in all parts of an assignment together. A part-assignment sent as a second instalment will not be marked. If you send a second instalment on paper, your tutor will return it to you with a note to say that it can’t be accepted. If you submit a second instalment via the eTMA system after your tutor has marked the first, your tutor will not mark it. If you have difficulty in completing all the parts of an assignment on time, you should ask your tutor, before the cut-off date, for permission to submit the whole assignment late. You must check your assignment before sending, either on paper or electronically, as if it turns out that you have sent the wrong file, or an earlier draft, or just missed something out, you will not be allowed to resubmit.

2.4.3 Late submission of TMAs

Illness or some other good reason may leave you unable to submit a TMA to arrive on or before the cut-off date. Please remember that late submission is not a right; you must obtain permission in advance. For some assignments late submission is not permitted at all.

If there are good reasons why you can’t meet a TMA cut-off date, take the appropriate action as explained in the following list.

- If you decide not to submit the assignment at all, warn your tutor, before the cut-off date, not to expect it from you. You should carefully consider the potential impact that
non submission may have on your OCAS, your module result and potentially your qualification classification. Some TMAs are compulsory or have a threshold which you need to achieve. If you fail to submit a compulsory TMA or reach a threshold you are likely to fail the module unless you can show that there were circumstances beyond your control that prevented you from satisfying the requirement. Substitution is not available for all assignments, or on all modules, and the effect of substitution is limited. Refer to Section 2.8.

- If you want permission to submit the assignment late, explain your reasons to your tutor before the cut-off date. Late submission will be allowed only if your tutor agrees that you have a genuine reason, that it’s in your interest to submit late rather than get on with current work, and that it’s still acceptable to record a score for your work. An extension of more than seven days will be authorised only in exceptional circumstances, and your tutor cannot agree to an extension of more than twenty-one days.

If you want to submit an assignment more than twenty-one days late, you must obtain permission through your Student Support Team, having first discussed the situation with your tutor.

- **no extension to the cut-off date will normally be allowed for a module’s final assignment.** In exceptional circumstances, and depending on the last cut-off date, an extension may be possible, though discretion for permitting it is much more limited than for other TMAs. If you think that your circumstances are exceptional, ask your tutor as soon as possible whether an extension can be granted. No extensions to a final TMA can be given beyond the absolute cut-off dates given below. The absolute cut-off dates for final assignments for modules ending in each of these months are:

<table>
<thead>
<tr>
<th>Module ends</th>
<th>Absolute cut-off date for extensions</th>
</tr>
</thead>
<tbody>
<tr>
<td>January</td>
<td>1 January</td>
</tr>
<tr>
<td>March</td>
<td>1 March</td>
</tr>
<tr>
<td>April</td>
<td>1 April</td>
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<td>June</td>
<td>1 June</td>
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<td>July</td>
<td>1 July</td>
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<tr>
<td>September</td>
<td>1 September</td>
</tr>
<tr>
<td>October</td>
<td>1 October</td>
</tr>
</tbody>
</table>

If the final TMA cut-off date for your module falls beyond the dates above, no extension can be granted in any circumstances.

### 2.4.4 Non-scored TMA marking and ongoing difficulties with assignment submission

Occasionally, when it’s too late to record a score that can contribute to your continuous assessment score, your tutor may still think it useful for your assignment to be marked so that you have the benefit of teaching comments.
Permission to submit a TMA more than seven days after the cut-off date will not usually be granted for more than two TMAs in a 60-credit module or one TMA in a 30-credit (or less) module.

If you are not able to submit TMAs by the cut-off dates given, or if you have fallen behind with your studies, you should consider the options provided in the University’s Deferrals and Withdrawals policy which is available from the Assessment section of Essential Documents. You may be able to suspend your studies and rejoin a later presentation of your module, and you may be able to carry forward the assignment scores you have already achieved.

You should contact your Student Support Team if you are considering a deferral.

You should consider submitting special circumstances if you have evidence that circumstances beyond your control affected your performance in continuous assessment or prevented you from submitting all of the assignments. See section 2.9.

2.5 Marking of TMAs

2.5.1 TMAs submitted online (eTMAs)

If you have submitted your TMA online, you will receive an email to your preferred email address to let you know when it has been marked and is available for you to collect. When you download your eTMA, you will also have a separate Assessment Summary (PT3) file which will contain your tutor’s overall comments on the TMA. Your tutor will have added comments to your eTMA as well. You may need to change the settings on your word-processing program in order to see these comments. You are likely to get your eTMA back more quickly than if you are submitting paper TMAs.

2.5.2 TMAs submitted on paper

Your tutor will write comments on your script and on the accompanying TMA form (PT3) and send both script and form to Walton Hall. Your score is then recorded on the University’s computer system, and your assignment and one copy of the TMA form (PT3) are returned to you. All this can take up to three weeks from the time you post your assignment.

2.5.3 Your marked TMA

When you get your work back you should check the scores awarded and read your tutor’s comments carefully, taking note (for future assignments) of what seem to be your strengths and weaknesses. If there are comments that you don’t understand, or don’t agree with your tutor will be able to discuss these with you.

2.5.4 Monitoring

Assignments marked by module tutors are monitored to ensure a reasonable uniformity of marking standards and an adequate level of teaching comments. Throughout the year some of the assignments marked by each tutor are checked by the module team. If you are submitting online this shouldn’t cause any delay in returning your marked TMA. However, if you submit on paper and your assignment is selected for monitoring, it will need to be photocopied to be checked by the module team, and its return may be delayed by up to two days. The Assessment Handling Operations office (contact details are at the end of this Handbook) will be able to tell you if this has happened. If you need your tutor’s comments on it to enable you to begin your next TMA, ask your tutor to send a copy of them direct to you.
These photocopies are treated as confidential and are destroyed at the end of each year.

2.5.5 Late return of marked TMAs

Your tutor is expected to mark and return your TMA within 10 working days of the cut-off date. Working days do not include weekends, bank holidays in UK nations or University closure days. If you have submitted your TMA online, once your tutor has marked it, you will receive an email telling you that it is available to collect.

If you have submitted your TMA on paper, your tutor will send it to the Assessment Handling Operations office for processing and it will be returned to you within 24 hours of receipt, so you should expect to receive it back within three weeks of the cut-off date. If you have not received your marked assignment by this time, you should contact your tutor in the first instance. If you receive no response from your tutor, you should then contact your Student Support Team.

2.5.6 Querying a TMA score

If you want to query the score awarded for a TMA, you must return the assignment to your tutor, stating the grounds on which you’re querying the score, within twenty-eight days of the date of return on the TMA form (PT3). If you don’t do this, the assignment can’t be sent on appeal for re-marking. The query should always be sent to the tutor who marked the assignment, not to your Student Support Team, the Assessment Handling Operations office, module team chair or anyone else.

If you’re not satisfied with your tutor’s response, you have the right to appeal. You should forward the assignment to the Student Casework Office (address at the end of this Handbook), together with the related correspondence from your tutor, the grounds for your appeal and any other relevant information, to arrive within twenty-eight days of the date of notification of your module tutor’s decision. The Student Casework Office will acknowledge receipt of your documents within three days of their arrival, telling you which delegated authority of the University has been asked to reply direct to you.

Don’t assume that a query or the subsequent appeal will result in an increase to your original score. Scores often remain unchanged, and they can be reduced. You may not resubmit a revised assignment to try to improve the score.

2.5.7 Querying the final TMA score

If you want to query the score for your module’s final TMA, you must raise the matter with your tutor within fourteen days of the date of return shown on your TMA form (PT3). If you’re not satisfied with your tutor’s response to your query about your final TMA, send your assignment, grounds for appeal and related correspondence to the Student Casework Office (address at the end of this Handbook) within fourteen days of the date of notification of your tutor’s decision. This is to make sure that all scores are processed in time for module results to be awarded.

If your module does not have an examinable component and your final TMA has been marked by someone other than your tutor, to appeal against the score you should send your appeal directly to the Student Casework Office (address at the end of this Handbook) with a letter outlining the grounds for your appeal. You must do this within fourteen days of the return of your assignment.
2.5.8 Modules without tutors
A small number of modules do not allocate personal tutors, but provide support through study advisers or online conferencing. If you are studying this type of module and you want to query a TMA score, you should forward the assignment to the Student Casework Office (address at the end of this Handbook), together with: a note saying that your module does not provide a personal tutor; the grounds for your appeal; and any other relevant information; to arrive within twenty-eight days of the date of notification of your TMA score. The Student Casework Office will acknowledge receipt of your documents within three days of their arrival, telling you which delegate authority of the University has been asked to reply direct to you.

2.5.9 Keeping copies of your TMAs
You are advised to keep a copy of your TMAs until after you receive your module result. If you have submitted your TMAs online, these will be available for you to download until 30 days after your module has finished. At this point, your access to your TMAs will be removed. Therefore, you should ensure that you download them before the end of the 30-day period. You should also consider backing up your files in case of PC failure or file corruption. As the system is regularly cleared down due to the volume of submissions, University policy does not permit the retrieval of TMAs on your behalf.

2.6 Submitting CMAs
It is important to remember that a CMA must be your own work just as a TMA must be (see the Appendix 1). Your module may require you to submit CMAs using a CMA form, or give you the option of completing the CMA online. Some modules use interactive CMAs (referred to as ‘iCMAs’). You should read your module materials carefully to make sure you know which type of CMAs are used by your module.

2.6.1 Online CMAs
If you submit your CMA online, you must make sure you have completed it by midday (UK local time) on the cut-off date given in your Study Planner. However, there is a 12-hour grace period so any CMAs received before midnight on the cut-off date will still be accepted, but we strongly recommend that you do not leave electronic submission of your CMA to the last minute as network traffic may be heavy and may delay receipt of your work by the University. We also recommend that you keep all submission receipts.

2.6.2 iCMAs
You access iCMAs for your module through a link on your module website. iCMAs can be set up in a number of different ways and will either give feedback after you answer each question or provide feedback for all questions after the cut-off date. Some iCMAs let you have more than one attempt at a question but give you a lower score for second and subsequent attempts. Most iCMAs will not give you a score on completion but you will be given your score and feedback on the iCMA after the closing date. You must make sure you have completed your iCMA before midday (UK local time) on the cut-off date, and that you have clicked the ‘submit’ button. If you don’t do this, you risk your iCMA not being accepted by the University. However, there is a 12-hour grace period so any iCMAs received before midnight on the cut-off date will still be accepted.
You will not necessarily be given exactly the same questions as other students, so if you want to query a point with your tutor remember to make a note of the question you were asked.

2.6.3 CMA forms

Before the first computer-marked assignment is due you'll receive a supply of CMA forms and envelopes that must be used when answering and submitting the assignments if you are submitting on paper.

The form has two parts, with instructions telling you how to fill in each. Complete both parts as instructed, using an HB pencil. Follow the printed instructions carefully for each question. Be sure to draw a firm horizontal line through the character in a cell, without going outside the boundary. If you want to change an entry, pencil in the coloured part of the cell. If you damage the surface of the form, start afresh with a new form. Don't skip a question – if you can't answer it, leave its answer cells blank and pencil through the ‘?’ cell. If you feel that the question is unsound pencil across cell ‘U’ as well as the answer you believe to be correct. You would not be penalised for selecting ‘U’.

Before sending in your CMA form, check carefully that:

- you haven't made an error in transferring your answers from the assignment booklet to the CMA form;
- you’ve completed all of Part 1 of the form correctly by writing your name, assignment number etc. in the left-hand box, and that you’ve correctly recorded your personal identifier and assignment number by pencilling through the cells in the other two sections in Part 1. Errors in this part of the form will make the document reader reject your form, causing delay in recording your assignment score and sending it to you.

Carefully fold the form inward, along the dotted line, put it in the envelope provided, and write your name and personal identifier on the flap of the envelope.

2.6.4 Sending in your CMAs

You should send your CMAs to the Assignment Records Office at Walton Hall, not to your tutor. Use the envelopes provided. The cut-off date given in the Study Planner for your module is the last date by which your CMA must reach the Assignment Records Office. It will not be marked if it arrives after this date. **No extensions are allowed for CMAs so please don't ask your tutor for an extension.** Don’t send in a CMA to arrive earlier than two weeks before the cut-off date, because (a) the computer won’t be set up to mark it, and (b) there may be an amendment to the assignment published in a Stop Press notice.

You are strongly advised to:

- post your CMA at least three days before the cut-off date
- use first-class post
- where possible, obtain proof of posting (available free from post offices in the UK)
- keep a copy of your CMA.

If you are studying outside the UK, you should consider sending your CMA by registered post or include a statement of the date sent so that, if necessary, it can be checked against the postmark. For technical reasons we can’t accept faxed CMAs for marking.
2.6.5 Late submission or late receipt of CMAs

CMAs that reach the University after the cut-off date will not be marked, both for technical reasons and because model answers or other information about the CMA may have already been sent out to other students. If you were not able to submit a CMA on time because of serious special circumstances, you should consider submitting special circumstances information to the Examination and Assessment Board, see Section 2.9.

If you have proof that you sent it by first-class post before the cut-off date, or if you have your submission receipt showing that your online CMA or iCMA was submitted before midnight (UK time), you should write to the Manager, Assignment Records, Assignment Records Office and ask for it to be marked. The address is at the end of this Handbook. Enclose your proof of posting keeping a copy for yourself. If we do not already hold your completed CMA, you will need to complete a new form duplicating your original responses.

2.7 Marking CMAs

If you submitted your CMA on paper, you will be sent a computer-generated feedback letter once it has been marked. For a formative assignment, it will be produced as soon as possible after the CMA reaches the University; for a summative assignment the letter will be produced after the cut-off date. You should receive it within ten days of the CMA’s cut-off date. The letter will show the score recorded for you and a table giving the distribution of scores for all the students who submitted the assignment. At the discretion of the module team there may also be information about individual questions, such as how many correct, partially correct or incorrect answers you gave, and a table of correct responses for all questions, showing which you got wrong.

If you submitted your CMA electronically, your score and feedback should be available on StudentHome (unless it forms part of the end-of-module assessment in which case feedback will be provided on paper only). For some iCMAs feedback is given on completion of each question. For others, you may be given access to the set of correct answers after the cut-off date.

2.7.1 Non-receipt of CMA scores

If you don’t receive your CMA score within ten days of the cut-off date, or if you want to query your score, you should email the Manager, Assignment Records at the Assignment Records Office or, if you prefer, you may write to or telephone the CMA Team (contact addresses and phone numbers can be found at the end of this Handbook). If you want to clarify any academic aspect of your CMA, ask your tutor.

2.8 Substitution

All your summative assignments are used for assessment, so you get credit for each one you submit and your overall continuous assessment (OCAS) score is always calculated on the basis of the weighted average of all the summative assignments on the module, not simply the average of those you complete. It is therefore in your interest to send in work for every assignment. But sometimes submission may not be possible, or you may not be able to complete all the assignments to your usual standard, perhaps because of illness or some other good reason.

So as to reduce the impact of a dip in assignment scores and to encourage you to attempt all the assignments, some modules allow your original score for a set number (published in
advance) of their summative assignments to be replaced (or ‘substituted’) by a higher score derived from your assessment scores throughout the module.

Certain assignments that are considered especially important are not eligible for substitution and not all modules allow substitution. The summary of the module assessment strategy available via your StudentHome module page will tell you whether your module allows it or not, and to which assignments it can apply. Substitution only takes place if it’s to your advantage and is applied automatically if your module allows it. There’s no need to ask to have scores substituted.

It is important to note that the calculation of the substitution score(s) is not simply an average of the scores achieved for your other assignments. The calculation we use is intended to encourage you to submit all assignments. Submitting part of an assignment and achieving a low score will still benefit your OCAS more than not submitting the assignment at all. This may also in turn affect your final module result and potentially the classification of your final qualification. You should consider this carefully before deciding not to submit a substitutable assignment.

If you have had an assignment score reduced because of a disciplinary penalty, this assignment will not be eligible for substitution.

For more information about how substitution works please see Appendix 2.

2.9 Special circumstances affecting continuous assessment

If any special circumstance has seriously affected your performance in continuous assessment (including CMAs or residential school work if your module has any), you can ask for the Examination and Assessment Board to be told about it when they determine your final module result.

This arrangement is intended to make Boards aware of matters of a serious nature that interfered with your ability to study over a total period of four weeks or more. The four main categories of special circumstance information that the University considers serious are:

• serious disruption of studies caused by the University (such as continual delay in receiving module materials, exceptionally late return of tutor-marked assignments)
• serious and prolonged illness (yourself or a member of your immediate family)
• serious disruption of personal life (such as bereavement).
• difficulties caused by a disability or additional requirement, for which you feel that any adjustments made by the University were not appropriate

The Examination and Assessment Board will use the information only to your benefit, usually if your result is borderline. Only limited weight can be given to it. Boards have generally given no weight to such circumstances as moving house, pressure of work, change of employment or normal pregnancies. So before you decide to go ahead, please consider carefully how far your special circumstances really have affected your performance in continuous assessment.

You should note that Examination and Assessment Boards will only consider information that is submitted within the deadlines outlined below and prior to your module result being determined. You cannot wait until you receive your module result and then decide to ask for special circumstances to be taken into account retrospectively.
2.9.1 To report special circumstances relating to continuous assessment

Special Circumstances relating to continuous assessment should be reported to the University via the Examinations and Assessment online submission tool available at: www2.open.ac.uk/students/assessment/requests/special-circumstances.php

You must complete the online process notifying the University of your Special Circumstances plus relevant third party evidence no later than 14 days after the published cut off for your final piece of continuous assessment (i.e. TMA or CMA, whichever is later). Submissions received outside of these timeframes will not be considered unless you can provide evidence that you were prevented from meeting the deadline by circumstances outside of your control. Supporting evidence can either be submitted electronically or in hardcopy as instructed by the online submission tool.

If you want to submit special circumstances relating to continuous assessment, you must ensure that you meet the above deadline, even if you are applying for postponement of the examination.

2.9.2 If you have a disability or additional requirements

If you think that your disability, specific learning difficulty or medical condition has seriously disadvantaged you in your continuous assessment, it’s in your own interest to complete a special circumstances submission.

Information about your condition that you’ve given the University or your tutor will not be brought to the attention of the Examination and Assessment Board. The only information they will have will be that submitted via special circumstances submission.

2.10 Posting assignments on websites

Posting your own assignments and/or tutor comments on an Open University forum or on any other website is not allowed unless you are required to do so as part of your assignment or you have received written permission from the Module Team Chair. Advertising assignments for sale is also not allowed (see Good academic practice and plagiarism in Section 2.3.2 and Appendix 1).

Section 3 End-of-module assessment tasks

3.1 Examinable components

The examinable component may be an examination, it may be a piece of work such as a dissertation, a project or portfolio, or it may include both an examination and other work. It may be written or oral. To pass the module, or to achieve a particular grade of pass, you must attain minimum scores on both the examinable component and the continuous assessment elements. Your result is not determined by an averaging of the two components.

If you do not participate in the examinable component by attending the examination or submitting the end-of-module assessment, you will not be able to pass the module. You will not be offered another opportunity to complete the module unless you have made formal arrangements to defer completion of your module (see section 1.6) or have had a postponement of your examination or end-of-module assessment formally approved by the University (see Section 3.3 to 3.7).
If you do not reach a pass standard in the examinable component element of the assessment, but have satisfied the other module requirements, including successful completion of continuous assessment and any residential school requirement, you will normally be offered one opportunity to resit or resubmit the examinable component. For postgraduate modules, you will also need to have met a minimum score in the examinable component. See section 4.6 Resits and resubmissions.

3.2 Examinations

If your module has an examination, this will usually be hand-written and will usually last three hours. The question paper will almost always be an unseen one, but you’ll receive a specimen paper with your module materials so that you can familiarise yourself with the appearance of the question paper, what you’ll be asked to do and the kind of questions you’re likely to find. For some modules you may be able to buy copies of past examination papers from the Open University Students Association (OUSA). Contact details are at the end of this Handbook.

Examination periods are the dates between which the University holds its examinations. For any given presentation of a module, the examination takes place in the examination period immediately after the formal teaching has ended. If you are currently studying a module and are unsure when the examination is going to take place, you will need to check StudentHome to see which examination period your examination will be held in.

For dates of the examination periods for 2015 and 2016 please click here.

You will receive notification of your examination centre allocation approximately 12 weeks before your examination. If you have any queries about your examination allocation, contact your Student Support Team. If you are taking your examination outside the UK please see Section 3.3.

3.3 Examination arrangements

3.3.1 Examination dates

The examination period applicable to your module will be published on the Assessment website www2.open.ac.uk/students/help/examination-periods before the start of each module presentation. You should not plan any holiday or other commitments that coincide with this period. If you are unsure when your examination will take place you can also find this information on your StudentHome page.

3.3.2 Examination centres and allocation

We will contact you about two months before your examination with details of your centre allocation and the Examination Arrangements booklet. If you want to change to another centre you should contact your Student Support Team as soon as you receive the details of your examination. If you’re changing your address, you must contact your Student Support Team immediately you know your new address and ask for reallocation.

It’s your responsibility to make sure that you attend the right session and the right centre. Misreading your allocation won’t entitle you to another examination opportunity or to have extra time if you arrive late.
3.3.3 If you have a disability

If you have declared a disability to the University, we will automatically provide you with a link to information about adjustments to examination arrangements. We will ask you to complete a request form for examination arrangements to tell us if you need any additional arrangements for you to be able to take your examination. You must ensure that you complete this form within the given deadline. If you do not do so, we may not be able to make the arrangements you require, and you may need to attend a main examination centre or your examination may need to be delayed, which could affect the planning of your chosen qualification. Please click here for information on services for disabled students.

Additional examination arrangements will be agreed on the basis of evidence of need, and you may not be able to have all the arrangements you ask for. If you feel you have grounds for appeal about the arrangements made for your examination, you must write to the Student Casework Office within twenty-one days from the date of the reply to your original request, stating the grounds for your appeal.

When the Examination and Assessment Board meets to award your results, it will have brief factual details of any additional arrangements we have made for your examination. The script marker is not made aware of any disability or additional requirements that you may have reported to the University, nor of any additional arrangements put in place for you. This is so that the Board has a baseline and independent assessment of the academic merit of the script as presented.

3.3.4 If you're ill or have additional requirements

If you're ill at the time of the examination or you have additional requirements, it may be possible to take your examination at home or in hospital. If you have caring responsibilities (for example, breastfeeding a newborn baby or caring for a disabled dependant) that make it difficult or impossible for you to take your examination at an examination centre, you may be able to take your examination at home. Please click here to see more information about caring responsibilities / pregnancy and your examination and examination arrangements if you are ill or injured.

Please consult your Student Support Team to discuss whether or not additional arrangements can be made for you or whether other arrangements such as deferral or postponement of your examination may be appropriate. Contact should be made as soon as possible, or no later than 6 weeks before the examination, so that options can be discussed with you in good time.

If your personal circumstances (such as a medical condition) prevent you from taking the examination in ordinary conditions, we may be able to adapt aspects of it to suit your needs. Your Student Support Team can give you a Meeting Your Assessment Needs booklet and a facility request form to complete and return.

Additional examination arrangements will be agreed on the basis of evidence of need, and you may not be able to have all the arrangements you ask for. If you feel you have grounds for appeal about the arrangements made for your examination, you must write to the Student Casework Office within twenty-one days from the date of the reply to your original request, stating the grounds for your appeal.
3.3.5 Examination rules

When you receive your examination allocation you’ll also receive details of the Examination Arrangements booklet that explains how the examination will be conducted and tells you what materials you’ll need and what you’ll be allowed to take into the examination room. You must read both the general and the module examination rules that apply to your module carefully and be sure to abide by them.

3.3.6 Identification

You must bring an acceptable form of identification with you to the examination centre. Acceptable forms of identification include: a photo driving licence, a passport, a national identity card, a CitizenCard or Validate UK card with a debit/credit card, an Irish public services card, or an NI electoral card. If you are a member of the UK forces sitting your examination within a BFPO base, your military ID will be accepted as proof of identity. Please note that OUSA cards are not acceptable forms of identification.

It is vital that you provide identification in the required format at the start of your examination. The Examination Arrangements booklet produced for each examination period contains up to date information about any additional documents which will be accepted. If you fail to provide suitable identification at the examination you will be contacted after the examination and required to produce evidence of your identification. It would normally be expected that you travel to your local or national OU office at your own expense to present the evidence. Your result will not be released until the matter has been satisfactorily resolved and if it is not satisfactorily resolved you will receive a fail result. Please note that even if you provide identification soon after the examination has taken place your result may still be delayed.

There may be occasions at examinations when a student wearing a full or partial face/head covering will be required to remove such items of clothing in order to verify their identity against the photo ID they are required to bring. This will be done in a courteous and appropriate manner in private and by a person of the same sex. For example if you are a Muslim woman wearing a hijab with a veil covering your face your identity will be checked by a female invigilator in a private room after the examination.

3.3.7 Examination scripts

Like many UK Universities, The Open University presents examination scripts to script markers anonymously. Candidates’ names are removed from the scripts, which are then identified throughout the marking process by a barcode.

This doesn’t apply to projects, dissertations or other kinds of examinable work, which have different marking arrangements.

3.3.8 Examinations outside the UK

A list of established examination centres for each examination period is provided at www2.open.ac.uk/students/help/where-are-exams-held

If you live outside the UK, Republic of Ireland or Central Europe and are unable to attend one of the listed established centres, you can apply for an examination at an alternative venue providing your completed request form and fee are received before the deadline shown via the link below.
We shall do our best to arrange an examination within a reasonable travelling distance. We cannot guarantee this service, however, and also reserve the right to refuse or withdraw an examination at a non-established centre if the arrangements fall short of the required standard.

If you want to take an examination at a non-established centre outside the UK, please follow the link below to go to the page which provides a copy of the form which needs to be completed, the deadline for application, and details of the non-refundable fee which is payable for each examination request:

www2.open.ac.uk/students/help/living-or-working-outside-uk-or-eu-at-time-of-exam

Even if you've taken such examinations before, you must make a separate application for each examination period and each examination you are taking within that period. If you are offered a resit examination, we will let you know the deadline for the application for your resit.

The key deadline dates for requesting examinations outside the UK can be found via this link:

www2.open.ac.uk/students/help/key-dates-for-exams

We are unable to deal with late requests because of the time it takes to make the arrangements. If you're posted abroad at very short notice and can't meet the date, you can either:

- Defer completion of your study to the next taught presentation of the module. Please refer to the University's Deferrals and Withdrawals policy available from the Assessment Section of Essential Documents www.open.ac.uk/students/charter/essential-documents/assessment for more information
- Apply to postpone your examination to the next available examination period on a discretionary basis. You will be required to provide third party documentary evidence to support a discretionary claim. Your Examination Arrangements booklet will provide you with full details of how to make an application for discretionary postponement.

### 3.4 Absence from examinations

If you fail to attend the examination and do not contact the University to arrange a formal deferral or postponement, you will be issued with a Fail: No Resit/No Resubmission module result. You will not be allowed another opportunity to complete the examination, and will not be eligible to repeat study of the module at a reduced fee.

#### 3.4.1 Deferral

For the majority of modules offered by the University, you can choose to defer completion of your module. If you want to delay sitting your examination, you may be able to deregister and then register on the next available presentation to complete your module. This process is called deferral. If you defer, you may be able to carry the assignment scores you have already completed over to your deferred presentation. If you carry scores forward, we refer to this as Assessment Banking. You may apply for deferral at any point up to the last working day before the date of the examination for your module. You cannot apply for a deferral if you have already been granted a previous deferral or postponement on the same module, or if you are taking a resit examination.
You can find more information about the University’s Deferral and Withdrawal policy and Assessment Banking at:

www.open.ac.uk/students/charter/essential-documents/assessment

You will need to receive, and acknowledge that you have received, individual advice before a deferral can be approved, so you must contact your Student Support Team if you are considering a deferral.

3.4.2 Discretionary Postponement

If you cannot attend the examination due to circumstances beyond your control, such as illness or bereavement, you may be permitted to postpone the examination to the next examination opportunity for your module. You will need to provide third party documentary evidence of the circumstances which are preventing you from attending. This process is called Discretionary Postponement.

If you want to apply for a postponed examination you can do this via the Examinations and Assessment online submission tool available at:

www2.open.ac.uk/students/assessment/requests/discretionary-postponement.php

To be eligible for postponement you must contact the University before midnight (UK local time) on the working day following your examination or provide evidence that you were prevented from meeting this deadline by circumstances outside of your control. Within 14 days of making your request you must provide third party documentary evidence confirming the reason for being unable to attend, unless there is a valid reason why this timescale cannot be met.

Applications cannot be accepted by telephone. If you require advice or guidance about making a request for postponement, you should contact your Student Support Team.

Applications which are not supported by independent documentary evidence confirming that you were unable to attend the examination for the reason stated will not be agreed. If you were ill, there must be a medical certificate for the period concerned, signed by a certified medical practitioner. If your reason was a business commitment, your employer must supply a letter on headed paper to confirm your unavailability. Supporting evidence can either be submitted electronically or in hardcopy as instructed by the online submission tool.

You must apply for a postponed examination as soon as you are aware that you are unable to attend the examination, and before midnight (UK local time) on the working day following your examination.

If you do not attend the examination and you have not had an application for postponement formally agreed in advance, you must consider the possibility that your application will not be approved. If this happens, you will normally receive a fail-absent module result and have to retake the whole module in order to obtain credit.

You must complete your module within 13 months of the original examination opportunity. So, if you postpone your examination and then fail it, you will not normally be eligible for a resit examination if that examination falls more than 13 months after the original examination opportunity. You should check with your Student Support Team if you are unsure how this may affect your study.
3.4.3 Elective Postponement
If you are offered a resit which is scheduled within twelve to sixteen weeks of your original examination period, you can elect to postpone this “early” resit examination to the next available opportunity. See Section 4.6 Resits and Resubmissions.

3.5 Special Circumstances relating to examinations

3.5.1 Special circumstances affecting examinations
If you believe that your examination performance was affected by a serious matter that occurred during the examination or in the twenty-one days immediately before it, you can ask us to take that into account when your module result is determined.

You should report only circumstances of a serious nature, occurring in the three weeks up to and including your examination date. The four main categories of special circumstance that the University considers serious are:

- the death or critical illness of a dependant or close relative
- a severely debilitating illness during most of the revision period or the examination
- the loss, due to circumstances beyond your control, of more than 10 minutes of examination time (you should also have brought this to the attention of the invigilator so that it is included in the report of events and conditions during the examination)
- difficulties caused by a disability or additional requirement, for which you feel that the University’s adjustments were not appropriate.

This information will be used only to your benefit, but the Examination and Assessment Board can give only limited weight to it.

You should only report special circumstances relating to the examinable component if you have attended the examination. The form cannot be used to report absence or to request formal deferral or postponement.

You must decide at the time of the examination if you intend to submit special circumstances information and notify the University within the timescales below. You should note that Examination and Assessment Boards will only consider information that is submitted within the deadlines outlined below and prior to your module result being determined. **You cannot wait until you receive your module result and then decide to ask for special circumstances to be taken into account retrospectively.**

Special Circumstances relating to examinations must be submitted for each module. If you are subsequently offered a resit opportunity and are still affected by similar circumstances you must submit another special circumstances form for the examination. The information in an examination-related special circumstances form does not roll over to a resit or other module examinations.

3.5.2 Reporting special circumstances
Special Circumstances relating to your examination should be reported to the University via the Examinations and Assessment online submission tool available at:

[www2.open.ac.uk/students/assessment/requests/special-circumstances.php](http://www2.open.ac.uk/students/assessment/requests/special-circumstances.php)
You must complete the online process notifying the University of your Special Circumstances before midnight (UK local time) on the day following your examination. Supporting evidence must then be submitted to the University within 14 days of your special circumstances submission. Submissions received outside of these timeframes will not be considered unless you can provide evidence that you were prevented from meeting the deadline by circumstances outside of your control. Supporting evidence can either be submitted electronically or in hardcopy as instructed by the online submission tool.

You must submit special circumstances yourself – information submitted by tutors or invigilators won’t be accepted.

If serious circumstances (such as hospitalisation immediately after the examination) prevent you from notifying the University of your special circumstances within the stipulated deadline and/or submitting evidence within fourteen days, it may be accepted, at the University’s discretion if you provide appropriate evidence of the reasons for the delay. If your reasons for late submission are not acceptable or supported by evidence, or if the Board has already held its award meeting, they will not be accepted. You will be notified of this in writing.

The special circumstances information you provide should be supported by evidence such as a medical certificate, otherwise it may not be considered. If you submit evidence by post, you must keep proof of posting. If you submit it electronically you should keep a copy of the email sent.

3.5.2 If you have a disability or additional requirements

If you think that extra time or other arrangements the University made for your examination haven’t adequately compensated for your disability, specific learning difficulty or medical condition, you should ensure that you submit special circumstances explaining this. The Examination and Assessment Board will be informed of any special arrangements that have been made for you. However, information about your condition that you’ve given the University or your tutor will not be brought to the attention of the Examination and Assessment Board. The only information they will have will be that submitted via special circumstances.

3.5.3 Special circumstances and your module result

If you submit Special Circumstances at the appropriate time, this will be reported on your result notification. In some cases, especially if you are completing an ‘early’ resit, your result may need to be delayed whilst your special circumstances information is received and considered.

3.6 Modules with other examinable work

If your module requires you to submit a piece of examinable work or EMA in place of, or as well as, an examination, it will have a published cut-off date by which it must reach the University. You’ll be told the arrangements for submitting it, and a weblink to the booklet Submitting Examinable Work will be sent to you about four to six weeks before your submission date. You should read the booklet carefully – it will give you full details of how to submit your work, what to do if special circumstances have affected it, or what to do if you cannot submit the work before the cut-off date.
3.6.1 Keeping copies of your EMAs
You are advised to keep a copy of your EMA until after you receive your module result. If you have submitted your EMA online, these will be available for you to download until 30 days after your module has finished. At this point, your access to your EMA will be removed. Therefore, you should ensure that you download them before the end of the 30-day period. You should also consider backing up your files in case of PC failure or file corruption. As the system is regularly cleared down due to the volume of submissions, University policy does not permit the retrieval of EMAs on your behalf.

3.7 Late submission of examinable work
Your module materials and your StudentHome page will tell you the date by which your examinable work must be received by the University. The formal deadline on this cut-off date is noon (UK local time). The University operates a 12 hour grace period, which means that work received before midnight (UK local time) on the published submission date will be considered to have been received on time.

Examinable work submitted up to 24 hours late (00.00 to 23.59) will be accepted for marking. As a penalty, the task score will be reduced by 10% points, or to bare pass level, whichever gives the higher score. As an example, where the pass level is 40, a score of 59 would become 49, 45 would become 40, and a score of 35 would remain unchanged. If you have submitted late, you may submit Special Circumstances, see section 3.5. In some cases, especially if you are completing an "early" resubmission, your result may need to be delayed whilst your late submission and/or special circumstances information is received and considered.

You should refer to the Submitting Examinable Work booklet for further details.

Examinable work received after the 24-hour penalty period will not be accepted for marking, and you will be considered to have not submitted.

3.8 Non-submission of examinable work
If you fail to submit any examinable work before either the cut-off date or the end of the 24-hour penalty period and do not contact the University to arrange a formal deferral or postponement, you will be issued with a Fail:No Resit/No Resubmission module result. You will not be allowed another opportunity to submit your EMA, and will not be eligible to repeat study of the module at a reduced fee.

3.8.1 Deferral
For the majority of modules offered by the University, you can choose to defer completion of your module. If you want to delay submitting your EMA, you may be able to deregister and then register on the next available presentation to complete your module. This process is called deferral. If you defer, you may be able to carry the assignment scores you have already completed over to your deferred presentation. If you carry scores forward, we refer to this as Assessment Banking. You may apply for deferral at any point up to the last working day before the EMA submission date for your module. You cannot apply for deferral if you have already been granted a previous deferral or postponement on the same module, or if you are resubmitting the EMA.

You can find more information about the University’s Deferral and Withdrawal policy and Assessment Banking at:
You will need to receive, and acknowledge that you have received, individual advice before a deferral can be approved, so you must contact your Student Support Team if you are considering deferral.

3.8.2 Discretionary postponement

If you cannot make a late or even partial submission of examinable work due to circumstances beyond your control, such as illness or bereavement, you may be permitted to postpone your submission to the next opportunity for your module. You will need to provide third party documentary evidence of the circumstances which are preventing you from submitting. This process is called Discretionary Postponement.

If you want to apply for a postponed submission you can do this via the Examinations and Assessment online submission tool available at:

www2.open.ac.uk/students/assessment/requests/discretionary-postponement.php

To be eligible for a postponement you must contact the University before midnight (UK local time) on the working day following your examinable work submission cut-off date or provide evidence that you were prevented from meeting this deadline by circumstances outside of your control. Please see section 6.4 of the Submitting Examinable Work booklet for further information about the criteria for discretionary postponement. Within 14 days of your postponement request you must provide third party documentary evidence confirming the reason for being unable to submit within stipulated deadlines, unless there is a valid reason why this timescale cannot be met.

If you were ill, this must be a medical certificate for the period concerned, signed by a certified medical practitioner. In cases of bereavement an original of a death certificate is not required, a photocopy is acceptable. In circumstances where a death certificate is not available an obituary notice or funeral service card is acceptable. Applications which are not supported by independent documentary evidence confirming that you were unable to submit your examinable work for the reason stated will not be agreed.

You must apply for a postponed EMA as soon as you are aware that you are unable to submit your examinable work, and before midnight (UK local time) on the working day following your published EMA submission date.

Applications cannot be accepted by telephone. If you require advice or guidance about making a request for postponement, you should contact your Student Support Team.

If you do not submit any examinable work and you have not had an application for postponement formally agreed in advance, you must consider the possibility that your application will not be approved. If this happens, you will normally receive a Fail: No Resit/No Resubmission module result and will have to retake the whole module in order to obtain credit.

3.8.3 Elective postponement

If you are offered a resubmission which is scheduled within twelve to sixteen weeks of your original submission date, you can elect to postpone this “early” resubmission to the next available opportunity (see section 4.6.2).
3.9 Special circumstances relating to other examinable work

3.9.1 Special circumstances affecting other examinable work

If your module’s examinable component includes (or consists of) work other than an examination and some serious circumstance affected you in the three weeks before the cut-off date, you can ask us to take it into account when your module result is determined.

You should report only circumstances of a serious nature, occurring in the three weeks up to and including your cut-off date. Categories of special circumstance that the University considers serious are:

- the death or critical illness of a dependant or close relative close to the submission date
- a severely debilitating illness
- difficulties caused by a disability or additional requirement, for which you feel that the University’s adjustments were not appropriate.

This information will be used only to your benefit, but the Examination and Assessment Board can give only limited weight to it.

You should only report special circumstances if you have submitted your end-of-module assessment. The form cannot be used to report an absence or to request a formal deferral or postponement. It is especially important that you submit special circumstances within the deadlines outlined below if you have only been able to make a partial submission.

You must decide at the time of submission of your work if you intend to submit special circumstances information and notify the University within the timescales below. You should note that Examination and Assessment Boards will only consider information that is submitted within the deadlines outlined below and prior to your module result being determined. You cannot wait until you receive your module result and then decide to ask for special circumstances to be taken into account retrospectively.

Special Circumstances relating to examinable work must be submitted for each module. If you are subsequently offered a resubmission opportunity and are still affected by similar circumstances you must submit another special circumstances form for the examinable work. The information in an examinable work-related special circumstances form does not roll over to a resubmission or other module submissions.

3.9.2 Reporting special circumstances

Special Circumstances relating to your examinable work submission should be reported to the University via the Examinations and Assessment online submission tool available at:

www2.open.ac.uk/students/assessment/requests/special-circumstances.php

You must complete the online process notifying the University of your Special Circumstances before midnight (UK local time) on the day following your examinable work submission cut-off date. Supporting evidence must then be submitted to the University within 14 days of your special circumstances submission. Submissions received outside of these timeframes will not be considered unless you can provide evidence that you were prevented from meeting the deadline by circumstances outside of your control. Supporting evidence can either be submitted electronically or in hardcopy as instructed by the online submission tool.
You must submit special circumstances yourself – information submitted by tutors won’t be accepted.

If serious circumstances (such as hospitalisation immediately after your submission) prevent you from notifying the University of your special circumstances within the stipulated deadlines and/or evidence within fourteen days, it may be accepted, at the University’s discretion if you provide appropriate evidence of the reasons for the delay. If your reasons for late submission are not acceptable or supported by evidence, or if the Board has already held its award meeting, they will not be accepted and you will be notified of this in writing.

The special circumstances information you provide should be supported by evidence such as a medical certificate, otherwise it may not be considered. If it is submitted by post, you must also obtain and keep proof of posting.

3.9.3 If you have a disability or additional requirements

If you think that your preparation of your end-of-module assessment has been affected by your disability, specific learning difficulty or medical condition, you should ensure that you submit special circumstances.

Information about your condition that you’ve given the University or your tutor will not be brought to the attention of the Examination and Assessment Board. The only information they will have will be that submitted via special circumstances.

3.9.4 Special circumstances and your module result

If you submit Special Circumstances, this will be reported on your result notification. In some cases, especially if you are completing an “early” resubmission, your result may need to be pended or delayed whilst your special circumstances information is received and considered.

Section 4 Module results

4.1 Assessment strategies

The assessment tasks set for students studying Open University modules are usually organised into two assessment components – these are the continuous assessment component, discussed in Section 2, Assignments, and an examinable component, explained in Section 3, End-of-module assessment tasks. Your performance in these two components is used in the determination of your overall result.

4.2 Determining results

The following information is taken into account in the determination of your module result:

- your assessment record
- your overall continuous assessment score (OCAS), and
- your overall examinable component score (OES)
- the weighted average of OCAS and OES
- your performance in any compulsory activities (e.g. residential school and assignments with thresholds)
- information about any special circumstances that have seriously affected your performance
University policy on assessment and the determination of results including the assessment strategy for the module.

4.2.1 Modules with two summative components of assessment

In deciding module results the Examination and Assessment Board (EAB) will establish thresholds for performance levels in three elements for each result i.e. threshold values in OCAS and OES and in the overall weighted average of OCAS and OES (sometimes this is called ‘rank’). Your result will be that for which your OCAS, OES and overall weighted average score meet all three threshold performance levels. N.B. Your module result will **not** be determined solely on the basis of your overall weighted average score.

You must achieve a score of at least 40 for both your continuous assessment and at least 40 for your examinable component to guarantee a pass result. You must also pass any threshold on any part of the assessment that is specified in your module rules (see Thresholds in Section 4.4).

4.2.2 Modules with one summative component of assessment

Within this group of modules there are some which have a formative component in addition to the summative one. Where this is the case, you need to achieve a specified threshold level of performance in the formative component as well as the summative one to be considered for a pass result although your performance in the formative component will not otherwise contribute to the grade of pass. You will be informed of the thresholds that apply in the assessment strategy summary available via your StudentHome module page.

Results are determined from the (weighted) average of all the summatively assessed work, at least one part of which will be available for scrutiny by the Examination and Assessment Board at its Award meeting. Some modules may require a minimum score in excess of 40. If this applies to your module it will be stated in the assessment strategy summary available via your StudentHome module page.

On some modules students who fail can be allowed to resubmit part of the assessment (usually the final assignment). To enable our systems to handle such modules, values for two components, OCAS and OES, are calculated for each student to allow us to check for eligibility to resubmit. While these values will be quoted when we issue module results, apart from this check, module results will be based on the overall weighted average score, as if the assessment only had one component.

4.2.3 Results for Resits/Resubmissions

Module results following a resit or a resubmission are normally capped at the lowest grade of pass. See section 4.6.4.

4.3 Examination and Assessment Boards

Each module has an Examination and Assessment Board that is responsible for determining module results. The Boards use their academic judgement to decide whether or not each student has reached the standard required to qualify for credit for the module.

Each Board comprises a Chair (usually the Chair of the module team), internal examiners (usually members of the module team) and at least one External Examiner. External Examiners are senior academics from outside the University, usually from another university or institute of higher education.
The Board will have each student’s:
- individual summative and formative assignment scores
- overall continuous assessment score (OCAS) before and after substitution (explained in Section 2.8 Substitution)
- overall examinable component score (OES) with individual question scores and project scores
- Rank score, showing the weighted average of OCAS and OES
- residential school or Alternative Learning Experience (ALE) information, if applicable.

The Board will also have:
- all the examination scripts (or other examinable work)
- information students have provided about special circumstances that affected their work
- various statistical analyses
- information about any additional arrangements made for the examination.

Examination and Assessment Boards work within policy approved by Senate (our authority for academic matters), which sets the upper and lower boundaries for each grade (see Section 4.5, Result grades). Boards have limited discretion to set the lower boundary for each grade of pass below that shown in the tables. This is entirely a matter for the Board, within the policy approved by Senate, and will remain confidential to it.

From the October 2016 examination period the University will start to change its awarding process whereby module results will initially be determined by Module Result Panels and recommended to a ‘cluster’ Examination and Assessment Board. External Examiners will attend the cluster Examination and Assessment Board. To see if your module will be affected by these changes please see the list in Appendix 3.

4.4 Your result

4.4.1 Modules with two components of assessment

Your scores for continuous assessment and for examinable work will place you in one of the result areas shown in the tables in Section 4.5.

Whatever weighting your module gives to each assessment component in the calculation of the Rank score, you must reach the scores shown in Section 4.5 in both OES and OCAS to guarantee a pass at that grade.

4.4.2 Modules with one component of summative assessment

Your module result will be determined from the (weighted) average of all the summatively assessed work, as shown in Section 4.2.

4.4.3 Special circumstances

If your scores are lower than those shown for a grade in Section 4.5 Result grades, you might still be awarded a higher result grade if you’ve given evidence of compelling special circumstances that significantly affected your performance (as explained in Sections 2.9, 3.5 and 3.7). But the Board can give only limited weight to special circumstances and you should not assume that your grade will be improved by submitting them.
When considering special circumstances, the Board will not make any changes to the scores awarded to you, but may award a higher result grade.

This consideration will take place before your module result is finalised and reported to you. You cannot ask to have a module result reconsidered on this basis.

If you have not been awarded a pass result, but are eligible for a resit or resubmission, the Examination and Assessment Board may decide to award a non-capped resit/resubmission result on the basis of your special circumstances. See Section 4.6 Resits and resubmissions.

Your module result notification will indicate whether special circumstances were presented to the Examination and Assessment Board.

4.4.4 Thresholds
As well as the scores shown in Section 4.5, Result grades, some modules also require you to achieve a certain score (a 'threshold') for some element(s) of the assessment in order to achieve a pass. It is therefore possible to fail such modules even if your scores are above those shown in Section 4.5. The assessment strategy summary available via your StudentHome module page will tell you if thresholds apply to your module.

4.4.5 Residential school attendance
Some modules include satisfactory residential school participation or satisfactory participation in an Alternative Learning Experience (ALE) among the requirements for a pass. The module material will tell you about this.

4.4.6 Resits and Resubmissions
Module results following a resit or a resubmission are normally capped at the lowest grade of pass. See Section 4.6.4.

4.5 Result grades
Different modules award different grades of pass. Modules may award a Pass grade only; Distinction and Pass; Distinction, Merit and Pass; or graded credit of pass grades 1, 2, 3 and 4. You can check which grades of pass are awarded for each module in Appendix 4 (see separate document).

If you are studying for a degree or other qualification, your module result grades will be used to determine your final classification or grade of this award. You can access information about how classification is determined from the StudentHome Help Centre Working out your degree classification page.

Some modules require you to reach additional thresholds for some elements of the assessment (see section 4.4). If this applies to your module, you will be told about this in your module materials and in the assessment strategy summary available via your StudentHome module page.

If you are awarded a pass grade on a resit or resubmission of a module you have previously failed, your result will normally be capped at the lowest level of pass. See Section 4.6.4 Result capping.
The following tables show the score requirements for each result grade for each type of module:

Table 3 Pass only

<table>
<thead>
<tr>
<th></th>
<th>OES</th>
<th>OCAS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pass</td>
<td>40</td>
<td>and 40</td>
</tr>
</tbody>
</table>

Table 4 Pass and distinction

<table>
<thead>
<tr>
<th></th>
<th>OES</th>
<th>OCAS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pass 1 (distinction)</td>
<td>85</td>
<td>and 85</td>
</tr>
<tr>
<td>Pass</td>
<td>40</td>
<td>and 40</td>
</tr>
</tbody>
</table>

Table 5 Pass, merit and distinction

<table>
<thead>
<tr>
<th></th>
<th>OES</th>
<th>OCAS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pass 1 (distinction)</td>
<td>85</td>
<td>and 85</td>
</tr>
<tr>
<td>Merit</td>
<td>70</td>
<td>and 70</td>
</tr>
<tr>
<td>Pass</td>
<td>40</td>
<td>and 40</td>
</tr>
</tbody>
</table>

Table 6 Fully graded credit

<table>
<thead>
<tr>
<th></th>
<th>OES</th>
<th>OCAS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pass 1 (distinction)</td>
<td>85</td>
<td>and 85</td>
</tr>
<tr>
<td>Pass 2</td>
<td>70</td>
<td>and 70</td>
</tr>
<tr>
<td>Pass 3</td>
<td>55</td>
<td>and 55</td>
</tr>
<tr>
<td>Pass 4</td>
<td>40</td>
<td>and 40</td>
</tr>
</tbody>
</table>

4.6 Resits and resubmissions

Most modules allow a resit of the examination or resubmission of the examinable component (OES) if you do not achieve the required standard for a pass in the examinable component but have successfully completed the continuous assessment and any threshold or residential school requirements which apply to your module. If your module has more than one task in its examinable component you will be required to participate in each task to be eligible for a resit/resubmission.

Undergraduate modules which allow a resit/resubmission do not require students to reach a threshold in the examinable component element, nor to pay a fee for the resit/resubmission, however, attendance at the examination or submission of the EMA is required. If you are offered a resit/resubmission on an undergraduate module, you will be automatically registered on a resit/resubmission presentation and this registration will be displayed on StudentHome.

Postgraduate modules will have a resit/resubmission threshold in the examinable component. The threshold that applies is shown in Appendix 4. Students on most postgraduate modules will also be required to register and pay a resit/resubmission fee. If you are offered a resit/resubmission on a postgraduate module, you will be sent an offer of
registration and a registration agreement in a mailing sent shortly after your module result is released.

4.6.1 The Help Centre

The following web page [www2.open.ac.uk/students/help/exam-periods](http://www2.open.ac.uk/students/help/exam-periods) provides information about examination periods and includes information about the timing of resit examinations. For modules with other examinable work, you will be informed about your resubmission date, either via StudentHome when your registration is confirmed or by email shortly after you are registered. We will also tell you whether you are required to complete a new piece of work, or whether you are required to improve your original submission.

4.6.2 Elective Postponement

Elective postponement is only available for students who have been offered a resit examination or resubmission of the examinable component opportunity within twelve to sixteen weeks of their original examination or submission date. It is not available for any student who was granted a discretionary postponement of their original examination or submission.

Students who electively postpone will delay their examination or resubmission to the next available module presentation assessment opportunity. Two important considerations before electively postponing are:

- The next presentation opportunity could be 5 - 12 months away
- The next presentation opportunity is likely to be your final opportunity within the University timescale to complete a module. If this is the case it will not be possible to request a further postponement of the examination or resubmission. See section 4.6.3 below.

You are advised to contact your Student Support Team to confirm this.

All elective postponement requests must be made before midnight (UK local time) on the working day following the examination or examinable component submission cut-off date. Any requests received outside of this timeframe will not be accepted and you will fail your module if you do not attend your examination or submit your examinable work. Further information on how to notify the University of your intention to electively postpone can be found within your resit or resubmission guidance notes. If your module does not offer an “early” resit or resubmission opportunity within twelve to sixteen weeks of your original assessment or you are not a resit student, you cannot apply for elective postponement.

Your result notification will confirm whether your request for elective postponement has been successful. If your notification says ‘Fail: not entitled to resit’, yet you have applied for elective postponement within the stipulated deadlines and are eligible for it, you must contact the Examinations Office as soon as possible.

If your module does not offer an “early” resit/resubmission opportunity within twelve to sixteen weeks of your original assessment, you will be expected to complete your resit/resubmission at the scheduled time, unless you have evidence which would support eligibility for postponement to a later period on a discretionary basis. Your Examination Arrangements booklet or Submitting Examinable Work Booklet will tell you about the criteria for discretionary postponement and how to apply.
4.6.3 Resubmission rules

Rules about resit/resubmission opportunities can be found in the Code of Practice for Student Assessment. University regulations only allow one resit or resubmission. No resit or resubmission will be permitted more than thirteen months after your module’s first examination or submission opportunity.

If you have already completed an examination or end-of-module assessment and been awarded a resit/resubmission, you are not eligible for deferral.

4.6.4 Result capping

Your module result for the resit or resubmission will normally be capped. This means that you will only be awarded a Pass or Grade 4 Pass result, even if your module offers a range of result grades and your scores would put you in an area above this level in the tables in Section 4.5. If you submitted relevant special circumstances at the time of your first attempt at the examination or end-of-module submission, the Examination and Assessment Board may decide to award you a non-capped resit or resubmission. If this applies to you, you will be informed about this at the point that your original module result is issued.

Boards do not have discretion to award a non-capped result on the basis of Special Circumstances information submitted at the time of the resit or resubmission.

4.6.5 Failing the resit/resubmission

If you do not achieve a pass on your resit/resubmission opportunity, you will need to study the module again if you want to be awarded credit for it. You may be able to study the module again at a reduced fee if you meet University criteria. You should refer to the Fee Rules for information on reduced fees for repeating modules.

4.6.6 If you have passed the module

Resits and resubmissions are not allowed if you’ve passed the module. The only exception to this will be if you are registered for a qualification which requires you to have achieved a Grade 3 or above in order to progress and you have achieved a Grade 4. If this applies to you, the University will contact you to offer you an exceptional resit/resubmission opportunity.

4.7 Getting your result

Results are usually available about six weeks after the examination period or EMA submission date. If you have completed a resit/resubmission within twelve to sixteen weeks of your original examination period or submission date, or if you were allowed to postpone your first examination or submission to a resit/resubmission period within twelve to sixteen weeks of your original examination period or submission date, we will aim to make these results available within fourteen days.

If you submit Special Circumstances, your result may need to be delayed whilst your special circumstances information is received and considered, especially if you are completing an “early” resit/resubmission.

Towards the end of your study of each module, your StudentHome page will give you an estimated date for the issue of module results. You will be sent an email to advise you when your module result has been made available on your StudentHome page.
Please make sure that you can access StudentHome before your results are due so that you have time to sort out any access problems.

For most modules, as well as the module result itself, you'll be given your final overall continuous assessment and examinable component scores where appropriate, and if substitution has been applied (see Section 2.8).

No results will be given by telephone.

4.7.1 Performance profile

Many modules provide an online ‘performance profile’ which is a summary of performance on each assessment ‘task’ in the examinable component. When your module result is released, if a performance profile is available for you there will be a link to it displayed immediately below your module result on your module record page. Clicking on this link will take you to the performance profile system.

Normally, your performance profile will only be accessible for 56 days after your final module result has been released. So if you want to keep a copy, we suggest that you either print it off or save the web page.

4.7.2 Pending results

Occasionally a Board may not have enough information or evidence to reach a decision about the result: an assignment score or examination information delayed by script marking may be missing from your record, or the Board may want you to take a viva voce examination (see Section 4.8, ‘Viva voce examinations’). In these cases you’ll be given a ‘pending’ result, and we will take urgent action to provide the Board with the information it needs so that your final result can be released within six weeks.

4.8 Viva voce examinations

Viva voce examinations are offered at the discretion of the Examination and Assessment Board – you can’t ask to be given one. They enable the Board to obtain additional information so that it can reach a decision about a candidate who, for example, is on a borderline between two results.

These examinations are conducted by a member of the University’s academic staff. Although they’re usually oral, occasionally a candidate may be required to undertake some written work. Arrangements to do with the viva-voce examination will be sent to you separately from your module result.

4.9 Module result checks

Since Examination and Assessment Boards are responsible, on behalf of the University Senate, for determining students’ results, their decisions are final. There’s no right of appeal to any other University body against the academic judgement of the Board. Careful checks are made at every stage of the award process to make sure that the Board’s decisions rest on full and accurate information.

Please read the information in this handbook about how module results are determined before you request a module result check. Your module result page on StudentHome will include a link to frequently asked questions about module results.
If you have evidence that an error has been made in determining your result, such as a missing TMA score or if you have evidence that you submitted special circumstances that are not recorded on your student record, you can query your result by completing a module result check form and sending it, along with the appropriate evidence, to arrive **within four weeks of the date of the release of your module result.** Module result checks can’t be dealt with by telephone. You can access a module result check form from your StudentHome page or via the Can I appeal against my result? page - “I think my module result must be wrong – what can I do?”

You should carefully read the guidance provided before deciding to submit a module result check. The chances of your module result being incorrect are extremely small. University policies and procedures do not permit the following:

- the return of examination scripts or other examinable work to students
- remarking/reconsideration of the examinable component or the continuous assessment of a module
- acceptance of late TMAs
- challenges against academic judgements
- an appeal made by another person on your behalf.

It is not possible to obtain more detailed information about your performance in the examinable component.

4.10 Formal module result appeals

4.10.1 If you have evidence that an error has been made you should first request a module result check (see section 4.9 above).

If you are not satisfied with the response you have had to your module result check you may request a review by the Senate Academic Appeals Review Panel. For an review to be accepted for consideration it is necessary for the case to be based on information or evidence that the decision was determined in an inappropriate way; for example on incorrect information or information that was less full than it should have been at the time. Your discontentment with the outcome of the module result check response is not evidence of any procedural irregularity concerning the way in which the decision was made.

If there’s evidence that your result was awarded improperly and your case is upheld, the Examination and Assessment Board will be asked to reconsider your result.

4.10.2 To make an appeal

If you feel you have grounds for review, refer to the Complaints and Appeals section of the Help Centre for more information on the grounds for a review and how to submit appeal within twenty-eight days of the date of your module result or of the reply to your module result check.

The Student Casework Office will acknowledge receipt of your documents within three days of their arrival, telling you which delegate authority of the University has been asked to reply direct to you.
4.11  *Aegrotat* credit

Exceptionally, if for medical reasons you’re unable to complete your module, the University may consider awarding *aegrotat* credit under a code of practice approved by the Senate. Such exceptions are limited to students who are permanently unable to continue their studies for medical reasons, are registered for the final module that would complete a qualification, and have been assessed on at least part of the module. You should contact your Student Support Team if you wish to consider making an application for *aegrotat* credit.
Summary of changes

Subjects updated in January 2014:
Student Support Team contact information; what information is available to script markers / Examination and Assessment Board of any disability or additional requirements.

Subjects updated in September 2014:
Submission of TMAs; consequences of failing a compulsory threshold; the need for appropriate identification at the start of examinations; requests for examinations outside the UK; where to find details of discretionary postponement criteria; undergraduate eligibility for resit/resubmission.

February 2015
1. Introduction - Addition of significant changes to this publication:
   - Requirement to provide photo identification
   - Change to deadline for elective postponements.
2. Change of name of Assignment Handling Office to Assessment Handling Operations.
3. Section 2.6.3 CMA forms – what to do if students feel a question is unsound.
4. Section 2.7 Marking CMAs – additional information about when students would receive feedback on their iCMAs.
5. Section 3.3.3 If you have a disability - clarification on process for additional examination arrangements after a disability is disclosed by a student.
6. Section 3.3.6 Identification:
   - The OUSA card is no longer an acceptable form of ID for examinations;
   - If ID is not shown at the examination, it would normally be expected that students travel to their local or national OU office at their own expense to present the evidence;
   - Their module result will be pended until this requirement is satisfied;
   - If the requirement is not satisfied, a fail result will be issued.
7. Sections 3.5.3 and 3.9.3 If you have a disability or additional requirements - confirmation that only information provided in special circumstances form will be passed to the Examination and Assessment Board.
8. Section 3.8.2 Discretionary postponement - clarification on process for requesting a discretionary postponement.
9. Section 3.9.1 Special circumstances affecting other examinable work - reinforcement of deadline for submitting special circumstances.
10. Section 4.6.2 Elective Postponement - change to deadline for students to notify University – it is now before midnight of the day following the examination.
November 2015

In November 2015 some changes were made to the Assessment Handbook.

Who is affected?

OU undergraduate and postgraduate students on taught modules must follow these regulations, unless you are studying on our Initial Teacher Education Programme.

What has changed?

1. Section 1.6 - Clarification of number of weeks to resit/resubmission whereby a student may request an elective postponement.
2. Section 2.1.2 - Advising students that we are unable to provide copies of assignments.
3. Section 2.3.3 – Confidentiality of assignments now includes ‘other assessments’ as well as ‘assignments’.
4. Section 2.4 - Refers students to the Help Centre instead of previous Appendix 2 for information about submitting assignments.
5. Section 2.4.2 - Clarification about submitting a second instalment of a TMA.
6. Sections 3.3.6 - Clarification about ID requirements at examination centres.
7. Section 3.6.1 - New section on ‘Keeping copies of your EMA’.
8. Section 3.7 - Removal of information about extensions to EMAs.
9. Section 4.3 - Introducing future changes to the way in which module results will be determined.
10. Section 4.6.1 - Clarification on wording relating to information on HelpCentre about resits and resubmissions.
11. Section 4.6.2 - Addition of considerations to make before choosing to electively postpone a resit/resubmission.
12. Section 4.9 - Changes to terminology and procedure to request a check of a module result.
13. Section 4.10 - Changes to procedure for making a formal module result appeal.
14. Section 4.10.2 - Information about time limit for making a formal module result appeal.
15. Appendix 2 (previous) - Removal of previous Appendix 2 (Submitting assignments). Students instead referred to relevant section of the Help Centre.
16. Appendix 3 - Addition of new information about the modules which will start with the new results awarding structure in October 2016.
Appendix 1 - Plagiarism

What constitutes plagiarism or cheating?

If you submit an assignment that contains work that is not your own, without indicating this to the marker (acknowledging your sources), you are committing ‘plagiarism’ and this is an offence. This might occur in an assignment when:

- using a choice phrase or sentence that you have come across
- copying word-for-word directly from a text
- paraphrasing the words from a text very closely
- using text downloaded from the internet
- borrowing statistics or assembled facts from another person or source
- copying or downloading figures, photographs, pictures or diagrams without acknowledging your sources
- copying from the notes or essays of a fellow student
- copying from your own notes, on a text, tutorial, video or lecture, that contain direct quotations.

Although you are encouraged to show the results of your reading by referring to and quoting from works on your subject, copying from such sources *without acknowledgement* is deemed to be plagiarism and will not be accepted by the University. This means that you must make it clear which words and ideas are yours and which have come from elsewhere, through the use of quotation marks as well as in-text citations.

Such poor academic practice may occur due to inexperience. So you should study the 'Developing Good Academic Practices' website [http://learn1.open.ac.uk/site/DGAP001](http://learn1.open.ac.uk/site/DGAP001). You should also read carefully all the module specific study advice that you receive in your mailings, especially statements concerning plagiarism and how to reference your sources. Where plagiarised material is included in assignments, tutors are likely to notice the shifts in style and may be aware of the source. Seek their advice on this early on in your study. The University also uses text comparison software which it applies to electronic assignments as well as scanned or retyped assignments.

The temptation to plagiarise may arise from lack of self-confidence or from a lack of understanding about the aims of the assessment and about what is required of you. Assignments provide a vehicle for assessing your performance during your module and contribute to your overall module result. However they also assist you in understanding your subject and aid your learning on the module. When you attempt to use the ideas and terms of the module independently you learn more thoroughly and develop your own writing style. You are likely to perform better in examinations if you have learned how to write your own answers to questions in assignments. By submitting work that is not your own you are denying yourself the benefit of this valuable learning strategy. Copying the work of others would be counter-productive to your goal of understanding the module work and to real achievement. Most students will not wish to take such a negative approach to studying, and the University does not tolerate it.

You are encouraged to collaborate with others in studying, but submitted work copied from or written jointly with others is not acceptable, unless collaboration is required in the particular
assignment. Therefore you will be asked to acknowledge a statement to confirm that all assessment work you have submitted is your own and that you have not cheated.

Submitting work that has been done by someone else and persistent borrowing of other people’s work without citation are obvious instances of plagiarism and are regarded as cheating. Copying answers from social networking sites is cheating. Paying for work from other sources and submitting it as your own is also cheating. It is intellectually dishonest to cheat and thus give one student an unfair advantage over others. Passing on your assignments to others, with the knowledge that another student may plagiarise the assignment will also lead to a penalty. If a case of plagiarism is proven, this is a serious offence and the Open University disciplinary procedures will be followed, as described under Code of Practice for Student Assessment SA 1.6 and Code of Practice for Student Discipline SD 4.3.
**Appendix 2 - Substitution: how it works**

**How it works**

Substitution involves up to three stages:

17. A check is made to see whether any of your assignment scores are eligible for substitution. This is done by finding which substitutable assignments (if any) have a score lower than your overall continuous assessment score based on your actual assignment scores (also known as your Preliminary Overall Continuous Assessment Score (POCAS)). If none of your assignment scores are lower than your POCAS, there is no need to progress to stages 2 and 3: substitution will not take place because it will not benefit you.

18. A decision is made on which of the assignments identified by the check in Stage 1 should be substituted. It isn’t always simply a case of picking the one(s) with the lowest score.

19. For each assignment chosen in Stage 2, the original score is replaced by the average of the original score and your POCAS.

**Example**

Here, we show the effect of substitution for a student on a module whose continuous assessment consists of eight tutor-marked assignments, all equally weighted. Substitution is allowed for two assignments excluding TMA 03.

The weighted average of the student’s assignment scores (ie the POCAS) is 61.25%.

**Table 7 Scores before substitution**

<table>
<thead>
<tr>
<th>TMA no.</th>
<th>01</th>
<th>02</th>
<th>03</th>
<th>04</th>
<th>05</th>
<th>06</th>
<th>07</th>
<th>08</th>
</tr>
</thead>
<tbody>
<tr>
<td>Max. mark</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td></td>
</tr>
<tr>
<td>Student’s scores</td>
<td>80</td>
<td>55</td>
<td>50</td>
<td>75</td>
<td>90</td>
<td>0</td>
<td>60</td>
<td>80</td>
</tr>
</tbody>
</table>

For each of the substituted assignments, the new score is the average of the POCAS (in this example 61.25) and the original score for the assignment.

TMAs that are not eligible for substitution will be excluded. If there are several assignments eligible for substitution, the system will automatically select the combination that gives the biggest increase in OCAS.

In this example, TMA 03 is not eligible for substitution. TMAs 02, 06 and 07 all have scores lower than the POCAS. Substitution has been applied to TMAs 02 and 06 because this leads to the biggest increase in OCAS for the student. The final score for TMA 02, which had an original score of 55 is much higher than the final score for TMA 06, for which the original score was zero. The overall effect of substitution in this case is to raise the overall continuous assessment score from 61.25% to 65.47%. OCAS is then rounded to the nearest whole number.
Table 8 Scores and substitution

<table>
<thead>
<tr>
<th>TMA no.</th>
<th>01</th>
<th>02</th>
<th>03</th>
<th>04</th>
<th>05</th>
<th>06</th>
<th>07</th>
<th>08</th>
</tr>
</thead>
<tbody>
<tr>
<td>Max. mark</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>100</td>
</tr>
<tr>
<td>Revised scores</td>
<td>80</td>
<td>58.13*</td>
<td>50</td>
<td>75</td>
<td>90</td>
<td>30.63*</td>
<td>60</td>
<td>80</td>
</tr>
</tbody>
</table>

*Substituted scores

You can assess the potential impact of substitution on your overall continuous assessment score (OCAS) by using the Assessment Calculator (if it's available for your module) on the module page of your StudentHome site at www.open.ac.uk/students/.

The calculator will allow you to estimate scores for TMAs which you have not yet submitted.
Appendix 3 – Modules included in the new awarding structure in October 2016

- A844
- A864
- A874
- B716
- B718
- B839
- K829
- ME625
- S810
- SXB810
- SXM810
- T802
- T894
- W800
Contact information

Student Support Teams
You can contact your Student Support Team by using the ‘Your contacts …’ panel in the HelpCentre on StudentHome.

OU Offices
You can find your nearest OU office using the ‘Your contacts …’ panel in the HelpCentre on StudentHome or by clicking www2.open.ac.uk/students/help/ou-offices

Central offices and departments
The address for mail that is not directed to a particular office or department is:
The Open University
Walton Hall
Milton Keynes
MK7 6AA
Phone +44(0)1908 274066
Fax 01908 653744
Website www.open.ac.uk
Evening advice line 0870 333 1444

Assignment Handling Operations
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PO Box 721
Milton Keynes
MK7 6ZU
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Email assignments@open.ac.uk

Assessment Policy Office
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