Your Vendor Management Program
New Regulatory Guidance & Expectations
Webinar ♦ June 23, 2014 ♦ 1:30 - 3:30 p.m. CT

On April 16, the OCC warned that cyber criminals are likely to target smaller banks. A key area of risk is greater reliance on vendors for IT and security services. This webinar provides an overview of the key elements of your Vendor Management Program and reviews updated guidance to help you prepare for your next IT examination. Outsourced third-party relationships (also known as Vendor Management) are not just a key element of your overall information security program but will be a top focus during examinations this year. The heightened oversight can be partially attributed to significant breaches at payment processors; failure to implement adequate security, controls and business continuity by several service providers and CAMELS downgrades due to vendor management issues.

This, the heavy reliance on outsourced services and an increase in cloud computing are also cited as contributing factors to new guidance issued focusing on outsourced third-party relationships:
- FFIEC Supervision of Technology Service Providers (TSP) handbook, the Outsourcing Technology Services Booklet Appendix D: Managed Security Service Providers. While the November 1, 2012, TSP handbook discusses the regulators' authority to oversee third-party vendors and outlines the agencies IT rating system, it also stresses a financial institution's board and management are ultimately responsible for ensuring outsourced activities are conducted in a safe and sound manner and in compliance with applicable laws and regulations.
- OCC Bulletin 2013-19 Third-Party Relationship Risk Management Guidance (10/30/13)
- FRB Guidance on Managing Outsourcing Risk (12/5/13)

**Highlights**
- Overview of the TSP program
- Regulatory expectations for MSSP relationships
- Planning and developing the Outsourced Third-Party Risk Management Program
- Due diligence in selecting third parties
- Risk assessment requirements for third parties
- Contract requirements
- Managing and overseeing outsourced relationships

**Presenter** Susan Orr, CISA, CISM, CRP, CRISC, is an industry expert with vast regulatory, risk management and security knowledge. During her 14 years as an FDIC bank examiner, Susan held numerous lead positions, including lead instructor for the FDIC's technology school. She currently consults for security providers and performs IT security/regulatory reviews for financial institutions

**Audience** Senior management, information security officers, compliance officers, risk management officers, technology officers, chief financial officers and auditors

**What is a Webinar?** A webinar is an online seminar featuring live audio, PowerPoint presentation along with other interactive features such as real time polls and chat. The program may be viewed on most devices (computer, tablet, smart phone). No special hardware is needed; however, speakers or headphones are required. The program consists of 105 minutes instruction and 15 minutes live Q&A. Each webinar registration provides 1 connection to the webinar, materials and access to the On-Demand Webinar for 30 days after the initial event. You may have unlimited listeners on your connection. You will receive login instructions and materials as well as a link to test your equipment prior to the seminar. If you do not receive a confirmation immediately after registering, call (877) 988-7526 or email support@conferenceedge.com.

An On-Demand Webinar is a live webinar that has been recorded and can be viewed at your convenience (24/7). Anyone at your branch may access the program online using the login provided. When you purchase an On-Demand Webinar, you have unlimited access to the program for 6 months from date of purchase. Transmission, retransmission or republishing of the audio portion of the seminar is prohibited. Your registration entitles you to 3 connection at 1 location with permission to copy materials for participants. Complete one registration per location.

**Please check all appropriate boxes**

**Webinar/Materials**
- (Live webinar) □ $259 Member □ $399 Nonmember
- On-Demand Webinar/Materials* □ $259 Member □ $399 Nonmember

*Unlimited online access to a copy of the webinar for 6 months from purchase date

We cannot guarantee registration for incomplete and/or illegible registration forms received. Please complete the form and type or write carefully.

**Name**

**Title**

**Bank/Company**

**Mailing Address**

**City/State/Zip**

**Phone/Fax**

**E-mail**

**Three Ways to Register**

**Online:** [Register Here](#)

**Mail:** Mail completed form with check 10 days prior to event to:

ConferenceEdge
1516 Xavier Street, Suite 500
Denver, CO 80204

**Phone:** Call ConferenceEdge at (877) 988-7526 (credit card payments only)

**Additional Registration Information**

**Preferred Payment Method:** Online

*Please register online or by phone when paying with a credit card*

**Payment Must Accompany Registration - Invoices are Not Provided**

Register online up to day of event. Earlier registration allows time to check your computer for an optimal experience.

**Questions?** Contact the IBA at 800-783-2265.