STATE OF ALABAMA
ALABAMA DEPARTMENT OF TRANSPORTATION
NOTICE TO CONTRACTORS OF
SPECIAL PREQUALIFICATION REQUIREMENTS

Project No. ACIMF-I059(385), Jefferson County
CBD I-20/59 Bridge Replacements and Approaches
from I-65 to 31st Street and Interchange Modifications at
E.B. Stephens Expressway (Phase III)

STANDARD PREQUALIFICATION REQUIREMENT

Prime contractors must pre-qualify with The Department of Transportation before bid
authorization for highway contracts will be granted. PROSPECTIVE BIDDERS MUST
HAVE FILED THEIR QUESTIONNAIRES FOR THE STANDARD
PREQUALIFICATION AT LEAST FOURTEEN (14) DAYS PRECEDING THE DATE
OF LETTING.

The prospective bidder must hold a valid qualification certificate and be on the Alabama
Department of Transportation Prequalified Prime Contractors List. Prospective bidders not
currently holding this certificate and not on this list must successfully complete a
Confidential Financial Statement, and Equipment and Experience Questionnaire,
available from the Plans and Proposals Engineer (334-353-6329) or on ALDOT’s website

MANDATORY PRE-BID MEETING

Prime and joint venture contractors or their company representative must attend a pre-bid
meeting, and attendance is mandatory. Proposals issued to contractors who fail to attend
the mandatory pre-bid meeting and/or fail to register their attendance will be voided, and
their bids will not be received nor considered. The date, time, and location of this meeting
will be stated in the project advertisement and notice-to-contractors.

SPECIAL PREQUALIFICATION REQUIREMENTS

Due to the scope and schedule of work to be performed under the above contract, prime
and joint venture contractors must also submit the special prequalification information
that follows and obtain approval from the Department to be considered as prequalified
and eligible to bid. THIS INFORMATION MUST BE RECEIVED BY THE
DEPARTMENT NO LATER THAN THREE WEEKS PRIOR TO THE LETTING
DATE. WE STRONGLY ENCOURAGE INTERESTED CONTRACTORS TO
SUBMIT THIS INFORMATION AS SOON AS POSSIBLE AND NOT WAIT FOR
THE OFFICIAL ADVERTISEMENT.

Contractors submitting as a joint venture will be evaluated as a composite of the
participating firms. Data submitted must identify the role of the joint venture partners and
to which of the partners the experience is related. Each party in a joint venture must be independently (standard) prequalified as indicated in this notice.

Contractors intending to prequalify as prime or joint venture contractor must provide the following information:

1. A list of all active roadway and bridge projects (state, federal, and private) including current contract amount, proposed completion date, and current project status.
2. If any active project is behind schedule by 15% or more, an explanation shall be submitted regarding the schedule delay. This explanation shall include contact information for the project owner.
3. A list of roadway and/or bridge projects that have an original contract value of $30 million or greater under contract or completed within the previous five (5) years.
4. For the projects that have an original contract value of $30 million or greater, are/were the projects behind schedule by 15% or more? An explanation shall be submitted regarding the schedule delay. This explanation shall include contact information for the project owner.
5. A certification (notarized statement) that no contract has been defaulted, terminated, or negotiated to an agreed termination to avoid a default within the previous five (5) years.
6. Certification (notarized statement) that the prospective prime or joint venture contractor has not been suspended or debarred by any governmental authority within the previous five (5) years.

Supporting information for pre-qualification as required above shall be submitted in the order noted above including name, address, and telephone number of submitter, including the names(s) of joint venture partner(s), if applicable.

Send five (5) hard copies and one (1) electronic copy (CD format or thumb drive) of the submittal in a sealed envelope identified as “Special Prequalification Submittal, ACIMF-I059(385), Jefferson County.” Include a transmittal letter which includes the name and address of the company submitting and the specific individual (with mailing address and telephone number) to be contacted relative to matters in the submittal. All submittals shall be addressed to:

Mr. Clay McBrien, P.E.
State Office Engineer
Alabama Department of Transportation
1409 Coliseum Boulevard, Room E-108
Montgomery, AL 36110
(334)242-6450

The Department may also consider any of the following facts or information:

a) The Department’s performance evaluations of the applicant, if available;
b) Information concerning the financial responsibility or business integrity of the applicant, affiliates of the applicant, and/or partners, owners, officers or authorized representatives of the applicant;
c) The applicant or any affiliate has made false, deceptive or fraudulent statements in its prequalification application;
d) The applicant or any affiliate has failed to complete, defaulted on or had any contract with the Department terminated for cause;
e) The applicant or any affiliate has existing incomplete contracts with the Department on which it is behind schedule to such an extent that it might hinder or prevent prompt completion of any additional contracts with the Department;
f) The applicant or any affiliate has a record of defective workmanship or the use of improper materials on any contract or subcontract with the Department;
g) The applicant or any affiliate has a record of non-compliance with other Department contract requirements, including without limitation the submittal of required documents;
h) The applicant or any affiliate has a record of non-compliance with applicable federal, state or local laws, regulations or ordinances, including without limitation laws, regulations or ordinances relating to workplace safety, environmental protection, equal opportunity employment and contracting, disadvantaged business enterprise program requirements, employee wage and hour requirements, or the prompt payment of subcontractors;
i) The applicant has received an enforcement order finding a violation of the Federal Water Pollution Control Act or any other water quality or water pollution control act;
j) The applicant or any of its affiliates owes money to the Department;
k) Any other information the Department may have requested, received or examined with respect to the applicant’s responsibility and qualifications.

Evaluation of the prospective bidder’s qualifications will be based on the information described above. Prospective bidders will be categorized as either “Qualified” or “Unqualified.” The Department will be the sole judge of the eligibility of prospective bidders and reserves the right to refuse eligibility to any contractor which, in its opinion, is not qualified to successfully and satisfactorily complete the required work. Decisions regarding eligibility will be final.