CFP Certification Renewal Application Form
For Year 2016

IMPORTANT NOTES

1. CFP certificants are required to renew their certification annually in order to continue using the CFP marks. To renew their certification, CFP certificants must maintain technical competence and fulfill ethical obligations. They must complete a minimum of 15 credits of continuing education (CE) every year (including at least 2 credits on compliance or ethics). Certificants who received their certification after 1 July 2015 may complete a minimum of 7.5 CE credits to meet the renewal requirement for the first year (including at least 1 credit on compliance or ethics).

2. In addition to the annual continuing education requirement, all CFP certificants voluntarily disclose any public, civil, criminal or disciplinary actions that may have been taken against them during the previous year as part of the renewal process.

3. The one year certification period is by calendar year, from 1 January to 31 December. **Deadline for submitting the complete renewal application for year 2016: 31 December 2015.**

4. Completed application form with appropriate annual fee must be received by IFPHK on or before the deadline on 31 December. If a CFP certificant has failed to submit the renewal application by 31 December, a late fee of HK$200 will be added to the regular annual fee for completed application submitted between 1 January and the last day of February in the following year. Annual fee and late fee are non-refundable and non-transferable.

5. If a complete application is not received by the end of February in the following year, the CFP certificant will be considered as not having satisfied the renewal requirements and the certification will automatically be suspended. The list of “temporarily suspended CFP certificants” will be posted on IFPHK’s website and published in our official publication for public’s information. IFPHK may take any other actions it deems appropriate.

6. Please note that the complete application must be submitted in person or by mail to the office:

   Operations Department
   Institute of Financial Planners of Hong Kong
   13/F, Causeway Bay Plaza 2,
   463 – 483 Lockhart Road, Hong Kong
   “Re: CFP Certification Renewal”

7. All applications are subject to review and approval by IFPHK. IFPHK endeavours to notify the result of the application within six to eight weeks' time. If you have any concerns, issues or further queries regarding the CFP certification renewal application, please contact us at (852) 2982 7888 or by email: cert@ifphk.org.

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### Code Tables for Application Form Section One

**Employer Code**

(Please choose the group that your current employer belongs to)

<table>
<thead>
<tr>
<th>C1</th>
<th>AIA</th>
<th>C9</th>
<th>DBS Bank</th>
</tr>
</thead>
<tbody>
<tr>
<td>C2</td>
<td>AXA</td>
<td>C10</td>
<td>Hang Seng Bank</td>
</tr>
<tr>
<td>C3</td>
<td>Bank of Communications</td>
<td>C11</td>
<td>HSBC</td>
</tr>
<tr>
<td>C4</td>
<td>Bank of East Asia</td>
<td>C12</td>
<td>FWD Group</td>
</tr>
<tr>
<td>C5</td>
<td>Bank of China</td>
<td>C13</td>
<td>Manulife</td>
</tr>
<tr>
<td>C6</td>
<td>China Construction Bank</td>
<td>C14</td>
<td>Nanyang Commercial Bank</td>
</tr>
<tr>
<td>C7</td>
<td>Citibank</td>
<td>C15</td>
<td>Prudential</td>
</tr>
<tr>
<td>C8</td>
<td>Convoy</td>
<td>C16</td>
<td>Shanghai Commercial Bank</td>
</tr>
<tr>
<td>C17</td>
<td>Standard Chartered Bank</td>
<td></td>
<td></td>
</tr>
<tr>
<td>C18</td>
<td>UBS</td>
<td></td>
<td></td>
</tr>
<tr>
<td>C19</td>
<td>Wing Lung Bank</td>
<td></td>
<td></td>
</tr>
<tr>
<td>C20</td>
<td>Zurich Insurance Group</td>
<td></td>
<td></td>
</tr>
<tr>
<td>C21</td>
<td>Ageas</td>
<td></td>
<td></td>
</tr>
<tr>
<td>C22</td>
<td>Sun Life Hong Kong Limited</td>
<td></td>
<td></td>
</tr>
<tr>
<td>C23</td>
<td>Others</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Industry Code**

(Please choose the one that best describes the industry you are serving, e.g. if you are serving as an accountant in a retail bank, you should choose I1)

<table>
<thead>
<tr>
<th>I1</th>
<th>Retail Banking</th>
</tr>
</thead>
<tbody>
<tr>
<td>I2</td>
<td>Private Banking</td>
</tr>
<tr>
<td>I3</td>
<td>Investment Banking</td>
</tr>
<tr>
<td>I4</td>
<td>Life Insurance</td>
</tr>
<tr>
<td>I5</td>
<td>General Insurance</td>
</tr>
<tr>
<td>I6</td>
<td>Independent Financial Advisor</td>
</tr>
<tr>
<td>I7</td>
<td>Asset Management</td>
</tr>
<tr>
<td>I8</td>
<td>Securities Brokerage</td>
</tr>
<tr>
<td>I9</td>
<td>Legal Practice</td>
</tr>
<tr>
<td>I10</td>
<td>Accounting Practice</td>
</tr>
<tr>
<td>I11</td>
<td>Academia</td>
</tr>
<tr>
<td>I12</td>
<td>Real Estate Sector</td>
</tr>
<tr>
<td>I13</td>
<td>Others</td>
</tr>
<tr>
<td>I14</td>
<td>Others</td>
</tr>
</tbody>
</table>

**Earnings Code**

<table>
<thead>
<tr>
<th>E1</th>
<th>Less than HK$200,000</th>
</tr>
</thead>
<tbody>
<tr>
<td>E2</td>
<td>HK$200,000 – less than HK$400,000</td>
</tr>
<tr>
<td>E3</td>
<td>HK$400,000 – less than HK$600,000</td>
</tr>
<tr>
<td>E4</td>
<td>HK$600,000 – less than HK$800,000</td>
</tr>
<tr>
<td>E5</td>
<td>HK$800,000 – less than HK$1,000,000</td>
</tr>
<tr>
<td>E6</td>
<td>HK$1 million or above</td>
</tr>
</tbody>
</table>
CFP CERTIFICATION RENEWAL APPLICATION FORM FOR YEAR 2016

For Official Use Only

<table>
<thead>
<tr>
<th>Date Received</th>
<th>Data Entry</th>
<th>Completed with all info</th>
<th>Payment</th>
<th>Evaluation</th>
<th>Approval (subject to audit)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Yes</td>
<td>No</td>
</tr>
</tbody>
</table>

To F&A on:
Confirmed on:

Follow up/Remarks:

SECTION ONE: PERSONAL PARTICULARS

Note: if you have examination record(s) kept in IFPHK, related personal data in your examination record(s) will also be updated with the information provided in this form.

Profile

CFP® Certificate No. | CFPHK
--- | ---

ID/Passport* No.

Title*
Dr / Mr / Mrs / Ms / Miss

Name
(as printed on your ID card/Passport)

Correspondence Address

Phone
(Mobile)
(Office)
(Home)

Fax

Email Address

Professional Qualification*
CFA / CGA / CMA / ChFC / CLU / FCII / FSA / CPA / Doctoral Degree / Others (please specify):

Details of Highest Level of Education Attained

Name of Education Institution

Qualification Attained (and Year of Award)

Employment Details

Current Employer

Company Group of Current Employer^ Employer Code: C

Current Position

Industry of Employment^ Industry Code: I

Years of Financial Planning Work Experience

Earnings in the Past Year^ Earnings Code: E

* Please circle where appropriate.
^ Compulsory field to be filled in for statistical purpose. Please refer to the Code Tables under the “IMPORTANT NOTES”.

Oct 15
Licences Issued by Regulators/Authorized Bodies in Hong Kong
(Please select the organizations with which you CURRENTLY have a registration.)

<table>
<thead>
<tr>
<th></th>
<th>Investments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Securities and Futures Commission (SFC)</td>
<td>☐</td>
</tr>
<tr>
<td>Hong Kong Monetary Authority (HKMA)</td>
<td>☐</td>
</tr>
<tr>
<td>Insurance</td>
<td></td>
</tr>
<tr>
<td>The Hong Kong Federation of Insurers (HKFI)</td>
<td>☐</td>
</tr>
<tr>
<td>The Hong Kong Confederation of Insurance Brokers (CIB)</td>
<td>☐</td>
</tr>
<tr>
<td>Professional Insurance Brokers Association Limited (PIBA)</td>
<td>☐</td>
</tr>
<tr>
<td>MPF</td>
<td></td>
</tr>
<tr>
<td>Mandatory Provident Fund Schemes Authority (MPFA)</td>
<td>☐</td>
</tr>
</tbody>
</table>

CFP® Certification in China

Do you have an active cross border CFP certification in China?
☐ Yes, my certification no. in China is: ____________________________
☐ No

Preference

1. To help save the environment, will you consider online renewal with IFPHK sending you a reminder notice?
☐ Yes (IFPHK will send you a reminder notice for online renewal)
☐ No (IFPHK will send you the printed renewal pack with enclosed renewal form)

2. Do you prefer to receive online publications rather than printed publications from IFPHK?
☐ Yes ☐ No

3. IFPHK always strives to communicate with you in bilingual format (English and Chinese). If a bilingual format is not available, please indicate your preferred language of communication*:
☐ English ☐ Chinese

* IFPHK will take into consideration your preferred language whenever possible. However, certain publications/communications channel may not be translated to the preferred language due to copyright, legal or other reasons.

4. IFPHK will use email, mail or SMS to offer you and let you know about the availability of products and services relating to examinations, education programs, memberships, conferences, events and research. If you do not want to receive these messages, please “tick” here. ☐
This is to declare that

a) I have read and agree to follow the Guide to Use of the CFP\textsuperscript{CM} Marks which is available at the CFP\textsuperscript{CM} Certificant Manual; and

b) I understand the continuing education (CE) requirements and obligations of a CERTIFIED FINANCIAL PLANNER\textsuperscript{CM} certificant, and an ordinary member of IFPHK (if applicable) as specified by IFPHK

and that I have met the obligations of the proper usage of the CFP certification marks and CE requirement for 2016 certification renewal.

Signature: ___________________________ Date: ___________________________

NOTES:

1. Certificants have to strictly follow the Guide to Use of the CFP\textsuperscript{CM} Marks in featuring the CFP marks in printed and online communications including business cards, letterhead, advertising, personal promotional literature, signage and websites.

2. Certificants are required to maintain attendance records and supporting documentary evidence of CE programs for a period of up to 3 years.

3. All filings and records of CE programs are subject to audit by IFPHK where certificants will be required to provide all attendance records and supporting documentary evidence upon request. Failure to provide the evidence may lead to disciplinary action including but not limited to the relinquishment of the CFP certification.

4. Please do not submit any CE attendance records and supporting documentary evidence with this application form unless specifically requested to do so.

5. Certificants who have not signed the Mark Usage & Continuing Education Declaration or have not acquired enough CE credits are considered non-compliance with the renewal requirements. Their certification and rights to use the CFP marks will be suspended until they have successfully renewed their certification.

6. Certificants who cannot comply with the renewal requirements for health reasons or other circumstances beyond their control can submit a written request to IFPHK for consideration. Such requests must include an explanatory letter and all applicable supporting documentation. These exception cases will be considered by the Board of Directors on a case-by-case basis.
SECTION THREE: DECLARATION & AGREEMENT

Period of Declaration: 1 January 2015 to date of application for renewal

1. Have you ever been convicted of any offence other than a minor traffic or littering offence in Hong Kong or elsewhere?  
   If “yes”, please specify: 
   Yes ☐ No ☐

2. Are you or have you ever been declared bankrupt in Hong Kong or elsewhere?  
   If “yes”, please specify: 
   Yes ☐ No ☐

3. Have you ever been refused membership of a statutory body or other professional body (including but not limited to SFC, HKMA, HKFI, HKCIB, PIBA, MPFA) in respect of your professional capacity in Hong Kong or elsewhere?  
   If “yes”, please specify: 
   Yes ☐ No ☐

4. Have you ever been refused professional indemnity insurance in Hong Kong or elsewhere?  
   If “yes”, please specify: 
   Yes ☐ No ☐

5. Have you ever been subject to disciplinary proceedings or expelled by a statutory body or other professional body (including but not limited to SFC, HKMA, HKFI, HKCIB, PIBA, MPFA) in respect of your professional capacity in Hong Kong or elsewhere?  
   If “yes”, please specify: 
   Yes ☐ No ☐

6. Have you ever been refused membership of a statutory body or other professional body (including but not limited to SFC, HKMA, HKFI, HKCIB, PIBA, MPFA) in respect of your professional capacity in Hong Kong or elsewhere?  
   If “yes”, please specify: 
   Yes ☐ No ☐

   Notes: 1. If you have answered “YES” to any of the above questions, please attach all relevant documents relating to the matters at issue.  
          2. CFP Certificant has the obligation to cooperate fully in IFPHK investigation (if any) in relation to his / her declaration above.

Terms and Conditions of Re-certification

1. I understand and agree to comply with all conditions, requirements, policies and procedures for the CFP Certification Program established by IFPHK as may be amended from time to time. I understand that such conditions, requirements, policies and procedures consist of all materials relevant to the CFP Certification Program including but not limited to IFPHK's Memorandum and Article of Association, IFPHK's Disciplinary Rules and Procedures, and IFPHK's Code of Ethics and Professional Responsibility and any conditions, requirements, policies and procedures that IFPHK may establish and/or amend from time to time.

2. I understand and agree that in consideration of IFPHK granting me the rights to use the CFP Marks, I shall observe and adhere to the Guide to Use of the CFP<sup>CM</sup> Marks and shall indemnify IFPHK and FPSB for all liability, loss and damages, costs, legal costs, professional costs and expenses of whatsoever nature incurred or suffered by FPSB or IFPHK whether direct or consequential arising out of, or as a result of, my, or my permitting the, misuse of the Marks otherwise than strictly in accordance with the Guide to Use of the CFP<sup>CM</sup> Marks.

3. I understand and agree that IFPHK may enforce the conditions, requirements, policies and procedures as mentioned in (1) above against me and may reject, suspend or terminate my right to use the CFP Marks (if granted) at any time, for my failure to satisfactorily meet any such conditions, requirements, policies and procedures.

4. I understand that the rights to use the CFP Marks are granted by IFPHK to me personally. I understand that my CFP certification is limited to a fixed period of time. At the end of the period, if my certification is not renewed, my certification expires immediately and any right to use the CFP Marks will terminate upon expiration of the certification. If I fail to comply with certification renewal requirements, I agree to cease use of the CFP Marks immediately. I understand that the IFPHK may relinquish any rights I have in the use of CFP Marks if I fail to maintain certification status.

5. I understand that upon successful application for re-certification with the IFPHK, the IFPHK will grant me a complimentary ordinary membership under IFPHK's Articles of Association. I understand that I may withdraw my IFPHK membership by sending a written request to the Operations Department of IFPHK.
6. I understand and agree that fees paid pursuant to my application are non-refundable and non-transferable.

**Personal Data Agreement**

1. I explicitly consent that any personal information (personal data) from time to time collected or held by IFPHK (whether contained in this application or obtained otherwise) is provided and may be held, used, processed and/or disclosed (i) in accordance with and for the purpose outlined in the Data Privacy Statement herein, and/or (ii) to permit and enable IFPHK to:
   
   a. fully and fairly process my application,
   
   b. disclose any personal data where IFPHK has an obligation to make such disclosure under the requirements of any law binding on IFPHK,
   
   c. disclose to the public my certification status, date of certification, professional standing and history of disciplinary actions as a CFP certificant and the date of my ceasing to be a CFP certificant (if applicable),
   
   d. use my personal data to compile statistics and analyse the results wholly for use within IFPHK,
   
   e. disclose my personal data to Financial Planning Standards Board Ltd. (FPSB) and its affiliate members for statistical purpose or certification / cross-border certification related purpose.

2. I understand that I may refuse to provide personal data as requested in the application or otherwise, but such refusal, or the provision of inaccurate personal data may result in IFPHK being unable to or refusing to process this application.

3. I agree that IFPHK may disclose my CFP certification status to my employer (being the entity with which I have an employment, agency or similar contractual obligation, and/or the holding companies, subsidiary companies or associated members of such entity) [that is kept in the IFPHK record] upon their request.

   - Yes
   - No

4. I understand that I have the right to check whether IFPHK holds personal data about me and that, if so, I have a right of access to my personal data. I can request IFPHK to correct any inaccurate personal data and if I need to obtain a copy of my personal data or have it corrected, I can write to the Operations Department of IFPHK. I understand that IFPHK is permitted by law to charge a reasonable fee for the processing of any data access request.

   I understand and agree to the Terms and Conditions of Re-certification and the above Personal Data Agreement. Also, I declare that the information contained in this application is truthful and complete, and I agree to notify IFPHK of any material changes to my responses to any of the questions in this application, including my contact details. I understand and agree that IFPHK may investigate the statements I have made with respect to this application, and that I may be subject to disciplinary actions for any misrepresentation (whether fraudulent or otherwise) in this application.

Signature: ___________________________     Date: ___________________________
Annual certification fee for Year 2016: HK$2,450 (in which, 15% will be contributed to IFPHK capital fund)

For late application submitted between 1 January 2016 and 29 February 2016, a late fee of HK$200 will be charged.

<table>
<thead>
<tr>
<th>Application submitted by</th>
<th>Application submitted between</th>
</tr>
</thead>
<tbody>
<tr>
<td>31 DEC 2015</td>
<td>1 JAN 2016 and 29 FEB 2016</td>
</tr>
<tr>
<td>Pay amount: HK$2,450</td>
<td>Pay amount: HK$2,650</td>
</tr>
</tbody>
</table>

- Payment by cheque to: “IFPHK Ltd.” (Bank: _____________________ Cheque No.: ____________)
- Payment by credit card:  □ VISA □ MasterCard
  - Card No.: _____________________ - _____________________
  - Card Expiry Date: ______ / _____ (MM / YY)
  - Cardholder’s Name: ________________________________
  - Cardholder’s Signature: ___________________________ Date: ____________________

For Official Use Only

<table>
<thead>
<tr>
<th>CFP Certificant No.</th>
<th>Name of CFP Certificant</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>CFPHK</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
ACKNOWLEDGEMENT OF RECEIPT

For Renewal Application Form Submitted by Mail or by Courier

The acknowledgement of receipt will be sent to you via email to the email address provided at Section One: Personal Particulars of this renewal form. If email address is not provided, there will be no acknowledgement sent.

ACKNOWLEDGEMENT OF RECEIPT

For Renewal Application Form Submitted in Person

To: ____________________________________________ (Please fill in your full name)

We hereby acknowledge the receipt of your CFP\textsuperscript{CM} Certification Renewal Application Form for Year 2016.

Please note that we will begin processing your application. You will be promptly notified of the results once your application has been approved.

For your information, the processing time for this application will be around 6 to 8 weeks. During this period, IFPHK may contact you regarding supplementary information to ensure a smooth process.

If you have any concerns, issues or further queries regarding the CFP certification renewal application, please contact us by email at cert@ifphk.org.

Thank you for your continual support of the highly-regarded CFP\textsuperscript{CM} Certification.

________________________________________________________________________

Institute of Financial Planners of Hong Kong

Date

Oct 15
Please fill out this questionnaire to help us better understand your needs. The questionnaire may be returned together with the renewal application.

請填妥這份問卷，讓我們更瞭解您的需要。您可把問卷連同續期申請表格一併提交。

**Part I 第一部分**

1. Please select your income range for the past 12 months (in Hong Kong dollar).
   請從以下選出最接近閣下於過去 12 個月內收入的範圍（以港元計算）。
   - □ < $200,000
   - □ $200,001 - $300,000
   - □ $300,001 - $400,000
   - □ $400,001 - $500,000
   - □ $500,001 - $600,000
   - □ $600,001 - $800,000
   - □ $800,001 - $1,000,000
   - □ $1,000,001 - $1,400,000
   - □ $1,400,001 - $1,800,000
   - □ $1,800,001 - $2,000,000
   - □ > $2,000,000

2. By what percentage did your individual income grow in the past 12 months?
   在過去的 12 個月內，個人收入增長多少個百分點？
   - □ 1% - 5%
   - □ 6% - 8%
   - □ 9% - 11%
   - □ 12% - 20%
   - □ 21% - 30%
   - □ > 30%
   - □ My income did not grow 未有增加
   - □ My income shrunk 收入減少

3. Please estimate the percentage of your total compensation attributable to the following sources.
   請根據以下收入類型評估您目前薪酬總額的百分比。

<table>
<thead>
<tr>
<th>Income type</th>
<th>(%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Basic salary</td>
<td></td>
</tr>
<tr>
<td>Commission</td>
<td></td>
</tr>
<tr>
<td>Asset-based fees</td>
<td></td>
</tr>
<tr>
<td>Financial planning fees</td>
<td></td>
</tr>
<tr>
<td>Bonus</td>
<td></td>
</tr>
<tr>
<td>Others, please specify</td>
<td></td>
</tr>
</tbody>
</table>

   **Total 總額** 100%

4. Please select the total asset value under your advice for the past 12 months in Hong Kong dollar.
   請從以下選出最接近閣下於過去 12 個月內財務建議港元客戶資產的範圍。
   - □ < $5,000,000
   - □ $5,000,001 - $10,000,000
   - □ $10,000,001 - $15,000,000
   - □ $15,000,001 - $20,000,000
   - □ $20,000,001 - $25,000,000
   - □ $25,000,001 - $30,000,000
   - □ $30,000,001 - $35,000,000
   - □ $35,000,001 - $40,000,000
   - □ $40,000,001 - $45,000,000
   - □ $45,000,001 - $50,000,000
   - □ > $50,000,000
5. How much of your time is spent on the following tasks?
為完成以下任務，您需要花費多少時間？

<table>
<thead>
<tr>
<th>Task 任務</th>
<th>(%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Client service problems</td>
<td></td>
</tr>
<tr>
<td>Compliance with regulatory requirements 遵守監管機構要求</td>
<td></td>
</tr>
<tr>
<td>Developing financial plans for clients 爲客戶制定財務計劃</td>
<td></td>
</tr>
<tr>
<td>Meeting current clients 與客戶會面</td>
<td></td>
</tr>
<tr>
<td>New client acquisition 争取新客戶</td>
<td></td>
</tr>
<tr>
<td>Office administration and management 行政管理</td>
<td></td>
</tr>
<tr>
<td>Research / due diligence on products and investments 產品和投資研究／盡職調查</td>
<td></td>
</tr>
<tr>
<td>Trading and asset management 交易和資產管理</td>
<td></td>
</tr>
<tr>
<td>Training / professional development 培訓／專業發展</td>
<td></td>
</tr>
<tr>
<td>Others, please specify 其他，請註明：</td>
<td></td>
</tr>
<tr>
<td><strong>Total 總計</strong></td>
<td>100%</td>
</tr>
</tbody>
</table>

**PART II 第二部分**

6. Do you support the following proposed / expected policy statement launched by FPSB or IFPHK? Please explain (if any).
您支持以下財務策劃標準制定局／香港財務策劃師學會建議／預計的政策聲明？請說明原因（如有）。

- □ Support 支持
- □ Not Support 不支持
- □ No comment 無意見

<table>
<thead>
<tr>
<th>CFP professionals should have a fiduciary duty of care towards clients CFP 專業人士對客戶應有受信責任</th>
</tr>
</thead>
<tbody>
<tr>
<td>Differentiate financial planning services from product selling and financial advice 價分財務策劃服務和產品銷售／財務諮詢服務</td>
</tr>
<tr>
<td>Commitment to a proposed fifth “E” – Enforcement 致力於建議中「5E」要求－執行(Enforcement)</td>
</tr>
</tbody>
</table>

Please explain (if any) 請說明原因（如有）：

7. What other professional qualifications or designations do you want to pursue? (may choose more than one)
您想獲取哪些其他專業資歷或資格？（可選超過一項）

- □ Mainland China financial planning qualifications 中國內地財務策劃專業資格
- □ CFA or similar professional qualifications 特許金融分析師(CFA)或類似專業資格
- □ FRM or similar professional qualifications 金融風險管理師(FRM)或類似專業資格
- □ CPA, ACCA or similar professional qualifications 註冊會計師(CPA)、特許公認會計師(ACCA)或類似專業資格
- □ Retirement planning professional qualifications 退休策劃專業資格
- □ Insurance planning professional qualifications 保險策劃專業資格
- □ Legal professional qualifications 法律專業資格

Please specify 請註明：

8. Please indicate the impact of the following items on your decision on CFP certification renewal.
在考慮為 CFP 資格認證續期時，下列項目對您有多大影響？（1 = not important, 5 = very important）

<table>
<thead>
<tr>
<th>Item  项目</th>
<th>1</th>
<th>2</th>
<th>3</th>
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<tbody>
<tr>
<td>Employer recognition of the CFP certification 僱主對 CFP 資格認證的認同</td>
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<td>Clients recognition of the CFP certification 客戶對 CFP 資格認證的認同</td>
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<td>Public awareness of the CFP certification 公眾對 CFP 資格認證的認識</td>
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<td>Employment status during renewal period 續期期間的任職狀況</td>
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<td>Adjustment to the CFP certification annual fee (if any) CFP 資格認證年費的調整（如有）</td>
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<tr>
<td>Adjustment to the CE requirements for CFP certification renewal (if any) CFP 資格認證續期所需的持續進修要求的調整（如有）</td>
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<td>IFPHK professional networking activities held / to be held 學會舉辦／將舉辦的業界網絡及交流活動</td>
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<td>IFPHK members' benefits 學會會員優惠</td>
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<td>Others, please specify 其他，請註明：</td>
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</tbody>
</table>
9. In the past year, have you attended in-house training organized by your company and/or business partners that is provided through IFPHK?

在過去一年裏，您有否參與過由您的僱主或商業伙伴安排，並由香港財務策劃師學會提供的機構培訓？

- Once 一次
- More than once 超過一次
- Never 從來沒有

10. How would you comment on the outlook of Hong Kong’s financial services industry in 2016?

你如何估計 2016 年香港金融服務業的前景？

- Very optimistic 非常樂觀
- Somewhat optimistic 稍為樂觀
- Not too optimistic 不太樂觀
- Somewhat pessimistic 稍為悲觀
- Very pessimistic 非常悲觀

11. How would you rank the following challenges for financial planners in Hong Kong?

(1 = least challenging, 5 = most challenging)

你會如何排序下列對香港財務策劃師的挑戰？（1 = 最低挑戰，5 = 最高挑戰）

- Demand for better soft skills (e.g. communication and language skills) 對軟技巧之要求（例如溝通及語文能力）
- Demand for better hard skills (e.g. technical product skills) 對硬實力之要求（例如產品技能）
- Expanding industry compliance and regulations 法規要求不斷提升
- Insufficient of international insight (e.g. western and mainland China culture) 國際視野不足（例如西方及內地文化）
- Technological advancements (e.g. emergence of Robo-adviser) 科技進步（例如電腦財策師的出現）

PART III 第三部分 (For first year obtain CFP certification only 只供第一年取得CFP資格認證的持證人)

12. How satisfied are you with your career after having received your CFP certification?

獲得 CFP 資格認證後，您對自己工作的滿意程度有多少？

- Significantly more satisfied 非常滿意
- Somewhat more satisfied 更加滿意
- Neither more satisfied nor dissatisfied 不滿意也不失望
- Somewhat more dissatisfied 更失望
- Significantly more dissatisfied 非常失望

13. Have you experienced career advancement as a result of your CFP certification?

獲得 CFP 資格認證是否對您的事業有幫助？

- The designation helped me get promoted 該資格認證有助於我升職
- The designation helped me get a new job 該資格認證幫我獲得新工作
- I was able to start my own practice as a result of the designation 獲得資格認證後，我可以開展自己的業務
- I have not been able to leverage the CFP certification to secure a promotion or new job 我還未用 CFP 資格認證獲得晉升或新工作
- I have not sought a change in role since certification 獲得資格認證後，我沒有尋找新的工作
- Others, please specify 其他，請註明：__________________________

PART IV 第四部分 Personal Particulars (Optional) 個人資料（可選擇不填寫）

CFP Certificant No. CFP 持證人編號：__________________________

Name 姓名：__________________________

Please provide us your account(s) so as to keep abreast of the industry trend and the IFPHK new initiatives. 您可提供的帳戶名稱，讓學會可以隨時為您提供最新市場動向及學會資訊。

Facebook：__________________________ LinkedIn：__________________________

Signature 簽署：__________________________ Date 日期：__________________________

This is the end of the survey, thank you very much for providing your views to us. 多謝您完成問卷調查及提供您的寶貴意見。